WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

(11) International Publication Number:

WO 97/02675

H04J 13/04

A2

(43) International Publication Date:

23 January 1997 (23.01.97)

(21) International Application Number:

PCT/US96/11059

(22) International Filing Date:

27 June 1996 (27.06.96)

(30) Priority Data:

60/000,775

30 June 1995 (30.06.95)

US

(71) Applicant: INTERDIGITAL TECHNOLOGY CORPORA-TION [US/US]; Suite 200, 900 Market Street, Wilmington, DE 19801 (US).

(72) Inventors: LOMP, Gary; 130 Washington Drive, Centerpot, NY 11721 (US). OZLUTURK, Fatih; 1474 Middle Neck Road, Port Washington, NY 11050 (US). SILVERBERG, Avi; 40 Cottonwood Drive, Commack, NY 11725 (US).

(74) Agents: NIGON, Kenneth, N. et al.; Ratner & Prestia, P.O. Box 980, One Westlakes, Berwyn, Valley Forge, PA 19482-0980 (81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL. IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

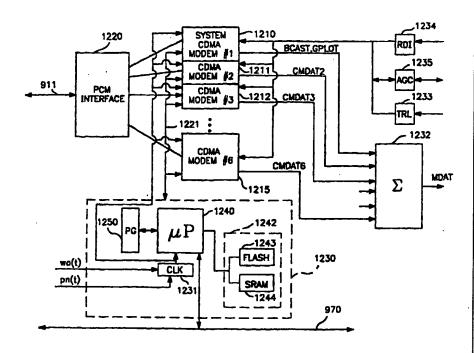
Published

Without international search report and to be republished upon receipt of that report.

(54) Title: CDMA MODEM

(57) Abstract

A CDMA modem includes a modern transmitter having: a code generator which provides an associated pilot code signal and which generates a plurality of message code signals; a spreading circuit produces a spread-spectrum message signal by combining each of the information signals with a respective one of the message code signals; and a global pilot code generator that provides a global pilot code signal to which the message code signals are synchronized. The CDMA modem also includes a modem receiver having an associated pilot code generator and a group of associated pilot code correlators for correlating code-phase delayed versions of the associated pilot signal with a receive CDM signal



to produce a despread associated pilot signal. The code phase of the associated pilot signal is changed responsive to an acquisition signal value until a pilot signal is received. The associated pilot code tracking logic adjusts the associated pilot code signal in phase responsive to the acquisition signal so that the signal power level of the despread associated pilot code signal is maximized. Finally, the CDMA modem receiver includes a group of message signal acquisition circuits, each including a plurality of receive message signal correlators which correlate respective local received message code signal to the CDM signal to produce a respective despread received message signal.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Paso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
СМ	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam

WO 97/02675 PCT/US96/11059

CDMA MODEM

BACKGROUND OF THE INVENTION

Providing quality telecommunication services to user groups which are clasified as remote, such as rural telephone systems and telephone systems in underdeveloped countries, has proved to be a challenge over recent years. The past needs created by these services have been partially satisfied by wireless radio services, such as fixed or mobile frequency division multiplex (FDM), frequency division multiple access (FDMA), time division multiple access (TDMA) systems, combination frequency and time division systems (FD/TDMA), and other land mobile radio systems. Often, these remote services are faced with more potential users than can be supported simultaneously by their frequency or spectral bandwidth capacity.

Recognizing these limitations, recent advances in wireless communications have used spread spectrum modulation techniques to provide simultaneous communication by multiple users. Spread spectrum modulation refers to modulating a information signal with a spreading code signal; the spreading code signal being generated by a code generator where the period Tc of the spreading code is substantially less than the period of the information data bit or symbol signal. The code may modulate the carrier frequency upon which the information has been sent, called frequency-hopped spreading, or may directly modulate the signal by multiplying the spreading code with the information data signal, called direct-sequence spreading (DS). Spread-spectrum modulation produces a signal with bandwidth substantially greater than that required to transmit the information signal, and synchronous reception and despreading of the signal at the receiver demodulator recovers the original information. The synchronous demodulator uses a reference signal to synchronize the despreading circuits to the input spread-spectrum modulated signal in order to recover the carrier and information signals. The reference signal can be a spreading code which is not modulated by an information signal.

Spread-spectrum modulation in wireless networks offers many advantages because multiple users may use the same frequency band with minimal interference to each user's

SUBSTITUTE SHEET (RULE 26)

25

5

10

15

20

receiver. Spread-spectrum modulation also reduces effects from other sources of interference. In addition, synchronous spread-spectrum modulation and demodulation techniques may be expanded by providing multiple message channels for a user, each spread with a different spreading code, while still transmitting only a single reference signal to the user.

5

10

15

20

25

30

One area in which spread-spectrum techniques are used is in the field of mobile cellular communications to provide personal communication services (PCS). Such systems desirably support large numbers of users, control Doppler shift and fade, and provide high speed digital data signals with low bit error rates. These systems employ a family of orthogonal or quasi-orthogonal spreading codes, with a pilot spreading code sequence synchronized to the family of codes. Each user is assigned one of the spreading codes as a spreading function. Related problems of such a system are: supporting a large number of users with the orthogonal codes, handling reduced power available to remote units, and handling multipath fading effects. Solutions to such problems include using phased-array antennas to generate multiple steerable beams, using very long orthogonal or quasi-orthogonal code sequences which are reused by cyclic shifting of the code synchronized to a central reference, and diversity combining of multipath signals.

SUMMARY OF THE INVENTION

An exemplary system which includes a modem according to the present invention provides local-loop telephone service using radio link between one or more base stations and multiple remote subscriber units. In the exemplary embodiment, the radio link is described for a base station communicating with a fixed subscriber unit (FSU), but the system is equally applicable to systems including multiple base stations with radio links to both FSUs and Mobile Subscriber Units (MSUs). Consequently, the remote subscriber units are referred to herein as Subscriber Units (SUs). Referring to Figure 1, in the exemplary system, the Base Station (BS) 101 provides call connection to the local exchange (LE) 103 or other and telephone network switching interface, and includes the Radio Carrier Station RCS (104). One or more RCSs 104, 105, 110 connect to the Radio Distribution Unit (RDU) 102 through the links 131, 132, 137, 138, 139, and the RDU 102 in turn interfaces with the LE 103 by transmitting and receiving call set-up, control, and information signals through telco links 141, 142, 150. The SUs 116, 119

communicate with the RCS 104 through radio links 161, 162, 163, 164, 165. Both the RCS and the SUs include CDMA modems which establish and maintain the radio links. Alternatively, another embodiment of the invention may include several SUs and a "master" SU which functions in much the same was as the RCS to allow communication among the SUs. Such embodiment may or may not have connection to a local telephone network.

5

10

15

20

25

30

Although the described embodiment uses different spread-spectrum bandwidths centered around a carrier for the transmit and receive spread-spectrum channels, the present method is readily extended to systems using multiple spread-spectrum bandwidths for the transmit channels and multiple spread-spectrum bandwidths for the receive channels. Alternatively, because spread-spectrum communication systems have the inherent feature that one user's transmission appears as noise to another user's despreading receiver, an embodiment can employ the same spread-spectrum channel for both the transmit and receive path channels. In other words, Uplink and Downlink transmissions can occupy the same frequency band.

The spread binary symbol information is transmitted over the radio links 161 to 165 using Quadrature Phase Shift Keying (QPSK) modulation with Nyquist Pulse Shaping in the present embodiment, although other modulation techniques may be used, including, but not limited to, Offset OPSK (OOPSK) and Minimum Shift Keying (MSK).

The RCS and the SUs each contain CDMA modems according to the present invention for transmission and reception of telecommunication signals including information signals and connection control signals. A CDMA modem which includes an embodiment of the present invention includes a modem transmitter having: a code generator which provides an associated pilot code signal and which generates a plurality of message code signals; a spreading circuit which combines each of the information signals, with a respective one of the message code signals to generate a spread-spectrum processed message signal; and a global pilot code generator that provides a global pilot code signal to which the message code signals are synchronized.

The exemplary CDMA modem also includes a modem receiver having associated pilot code acquisition and tracking logic. The associated pilot code acquisition

10

15

20

25

logic includes an associated pilot code generator and a group of associated pilot code correlators for correlating code-phase delayed versions of the associated pilot signal with a receive CDM signal to produce a despread associated pilot signal. The code phase of the associated pilot signal is changed responsive to an acquisition signal value until a detector indicates the presence of the despread associated pilot code signal by changing the value of the acquisition signal. The associated pilot code signal is synchronized to the global pilot signal. The associated pilot code tracking logic adjusts the associated pilot code signal in phase responsive to the acquisition signal so that the signal power level of the despread associated pilot code signal is maximized. Finally, the CDMA modem receiver includes a group of message signal acquisition circuits. Each message signal acquisition circuit includes a plurality of receive message signal correlators which correlate the local received message code signal with the CDM signal to produce a respective despread received message signal.

To generate large families of nearly mutually orthogonal codes used by the CDMA modems, the exemplary modem includes a code sequence generator. The code sequences are assigned to a respective logical channel of the spread-spectrum communication system, which includes In-phase (I) and Quadrature (Q) transmission over RF communication channels. One set of sequences is used as pilot sequences that are transmitted without being modulated by a data signal. The code sequence generator circuit includes a long code sequence generator including a linear feedback shift register, a memory which provides a short, even code sequence, and a plurality of cyclic shift, feedforward sections, each of which provides a respective code sequence in the family of code sequences. The code sequence generator further includes a group of code sequence combiners for combining each generated code sequence with the short, even code sequence to produce a group, or family, of long code sequences having relatively low mutual correlation.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a block diagram of a code division multiple access communication system according to the present invention.

10

15

20

25

Figure 2a is a block diagram of a 36 stage linear shift register suitable for use with long spreadingcode of the code generator of the present invention.

Figure 2b is a block diagram of circuitry which illustrates the feed-forward operation of the code generator.

Figure 2c is a block diagram of an exemplary code generator of the present invention including the circuit for generating spreadingcode sequences from the long spreadingcode and the short spreadingcodes.

Figure 2d is an alternate embodiment of the code generator circuit including delays to compensate for electrical circuit delays.

Figure 3a is a graph of the constellation points of the pilot spreadingcode QPSK signal.

Figure 3b is a graph of the constellation points of the message channel OPSK signal.

Figure 3c is a block diagram of exemplary circuitry which implements the method of tracking the received spreadingcode phase of the present invention.

Figure 4 is a block diagram of the tracking circuit that tracks the median of the received multipath signal components.

Figure 5a is a block diagram of the tracking circuit that tracks the centroid of the received multipath signal components.

Figure 5b is a block digram of the Adaptive Vector Correlator.

Figure 6 is a block diagram of exemplary circuitry which implements the acquisition decision method of the correct spreading code phase of the received pilot code of the present invention.

Figure 7 is a block diagram of an exemplary pilot rake filter which includes the tracking circuit and digital phase locked loop for despreading the pilot spreadingcode, and generator of the derotation factors of the present invention.

10

15

20

25

Figure 8a is a block diagram of an exemplary adaptive vector correlator and matched filter for despreading and combining the multipath components of the present invention.

Figure 8b is a block diagram of an alternative implementation of the adaptive vector correlator and adaptive matched filter for despreading and combining the multipath components of the present invention.

Figure 8c is a block diagram of an alternative embodiment of the adaptive vector correlator and adaptive matched filter for despreading and combining the multipath components of the present invention.

Figure 8d is a block diagram of the Adaptive Matched Filter of one embodiment of the present invention.

Figure 9 is a block diagram of the elements of an exemplary radio carrier station (RCS) of the present invention.

Figure 10 is a block diagram of the elements of an exemplary modem interface unit (MIU) of the RCS shown in Figure 9.

Figure 11 is a high level block diagram showing the transmit, receive, control, and code generation circuitry of the CDMA modem.

Figure 12 is a block diagram of the transmit section of the CDMA modem.

Figure 13 is a block diagram of an exemplary modem input signal receiver.

Figure 14 is a block diagram of an exemplary convolutional encoder as used in the present invention.

Figure 15 is a block diagram of the receive section of the CDMA modem.

Figure 16 is a block diagram of an exemplary adaptive matched filter as used in the CDMA modem receive section.

Figure 17 is a block diagram of an exemplary pilot rake as used in the CDMA modem receive section.

Figure 18 is a block diagram of an exemplary auxiliary pilot rake as used in the CDMA modem receive section.

10

15

20

25

30

DESCRIPTION OF THE EXEMPLARY EMBODIMENT

Referring to Fig. 1, the radio links 161 to 165 incorporate Broadband Code Division Multiple Access (B-CDMATM) as the mode of transmission in both the Uplink and Downlink directions. CDMA (also known as Spread Spectrum) communication techniques used in multiple access systems are well-known. The described exemplary system uses the Direct Sequence (DS) spreading technique. In each modem, one or more CDMA modulators performs the spread-spectrum spreading code sequence generationIn addition, the modems generate, for example, a pseudonoise (PN) spreading sequence; and pefrorm complex DS modulation to produce quadrature phase shift keying (QPSK) signals for the In-phase (I) and Quadrature (Q) channels. Pilot signals are generated and transmitted with the modulated signals. The pilot signals of the present embodiment are spreading codes which are not modulated by data. The pilot signals are used for system synchronization, carrier phase recovery, and for estimating the impulse response of the radio channel. Each SU includes a single pilot generator and at least one CDMA modulator and demodulator, called a CDMA modem. Each RCS 104, 105, 110 has a single pilot generator plus sufficient CDMA modulators and demodulators for all the logical channels in use by all SUs.

The CDMA demodulator despreads the signal, with appropriate processing to combat or exploit multipath propagation effects. Parameters concerning the received power level are used to generate the Automatic Power Control (APC) information which, in turn, is transmitted to the other end (i.e. from the SU to the RCS or from the RCS to the SU). The APC information is used to control transmit power of the automatic forward power control (AFPC) and automatic reverse power control (ARPC) links. In addition, each RCS 104, 105 and 110 may perform Maintenance Power Control (MPC), in a manner similar to APC, to adjust the initial transmit power of each SU 111, 112, 115, 117 and 118.

Diversity combining at the radio antennas of the RCS 104, 105 and 110 is not necessary because CDMA has inherent frequency diversity due to the spread bandwidth. Receivers may include Adaptive Matched Filters (AMFs) (not shown in Figure 1), however, which combine the multipath signals. In the exemplary embodiment, AMFs perform Maximal Ratio Combining.

10

15

20

25

30

Logical Communication Channels

A 'channel' of the prior art is usually regarded as a communications path which is part of an interface and which can be distinguished from other paths of that interface without regard to its content. In the case of CDMA, however, separate communications paths are distinguished only by their content. The term 'logical channel' is used to distinguish the separate data streams, which are logically equivalent to channels in the conventional sense. All logical channels and sub-channels of the present invention are mapped to a common 64 kilo-symbols per second (ksym/s) QPSK stream. Some channels are synchronized to associated pilot codes which are generated in the same way and perform much the same function as the system Global Pilot Code. The system pilot signals are not, however, considered logical channels.

Several logical communication channels are used over the RF communication link between the RCS and SU. Each logical communication channel has either a fixed, pre-determined spreading code or a dynamically assigned spreading code. For both pre-determined and assigned codes, the code phase is in synchronism with the Pilot Code. Logical communication channels are divided into two groups: the Global Channel (GC) group includes those channels which are either transmitted from the base station RCS to all the remote SUs or from any SU to the RCS of the base station regardless of the SU's identity, These channels contain for all users and include the channels used by SUs to gain access to message communication channels. Channels in the Assigned Channels (AC) group are those channels which are dedicated to communication between the RCS and a particular SU.

The Global Channels (GC) group provides for 1) Broadcast logical channels, which provide point to multipoint services for broadcasting messages to all SUs and paging messages to SUs; and 2) Access Control logical channels which provide point-to-point services on global channels for SUs to access the system and obtain assigned channels.

An Assigned Channel (AC) group contains the logical channels that control a single telecommunication connection between the RCS and a SU. The functions developed when an AC group is formed consists of a pair of power control logical

message channels for each of the Uplink and Downlink connections, and depending on the type of connection, one or more pairs of traffic channels. The Bearer Control function performs the required forward error control, bearer rate modification, and encryption functions. The logical channels which constitute the BC and AC groups are summarized below in Table 1.

Table 1: Logical Channels and sub-channels of the B-CDMA Air Interface

Channel name	Abbr.	Brief Description	Directio n (forward or reverse)	Bit rate	Max BER	Power level	Pilot
Global Ch	annels		•		'	<u> </u>	1
Fast Broadcas t Channel	FBCH	Broadcasts fast- changing system information	F	16 kbit/ s	1e-4	Fixed	GLPT
Slow Broadcas t Channel	SBCH	Broadcasts paging messages to FSUs and slow- changing system information	F	16 kbit/ s	1e-7	Fixed	GLPT
Access Channel s	AXCH(i)	For initial access attempts by FSUs	R	32 kbit/ s	1e-7	Controlled by APC	LAXPT (i)
Control Channel s	CTCH(i)	For granting access	F	32 kbit/ s	1e-7	Fixed	GLPT
Assigned	Channels						
16 kbit/s POTS	TRCH /16	General POTS use	F/R	16 kbit/ s	1e-4	Controlled by APC	F-GLPT R-ASPT
32 kbit/s POTS	TRCH /32	General POTS use	F/R	32 kbit/ s	le-4	Controlled by APC	F-GLPT R-ASPT
64 kbit/s POTS	TRCH /64N	POTS use for in-band modems/fax	F/R	64 kbit/ s	le-4	Controlled by APC	F-GLPT R-ASPT
Traffic channel	TRCH /64L	ISDN B channel or	F/R	64 kbit/	1e-7	Controlled by APC	F-GLPT R-ASPT

@ 64 kbit/s- low BER		LL		S			
D channel	TRCH /16L	ISDN D channel	F/R	16 kbit/ s	1e-7	Controlled by APC	F-GLPT R-ASPT
Order wire channel	OW	assigned signaling channel	F/R	32 kbit/ s	1e-7	Controlled by APC	F-GLPT R-ASPT
APC channel	APC	carries APC commands	F/R	64 kbit/ s	2e-1	Controlled by APC	F-GLPT R-ASPT

The APC data is sent at 64 kbit/sec. The APC logical channel is not FEC coded to avoid delay and is transmitted at a low power level to minimize capacity used for APC. Alternatively, the APC and order wire (OW) data may be separately modulated using complex spreading code sequences, or they may be time division multilplexed with a 16 kbit/s traffic channel.

The Spreading Codes

5

10

15

The CDMA code generators used to encode the logical channels of the present invention employ Linear Shift Registers (LSRs) with feedback logic which is a method well known in the art. The code generators of the present embodiment of the invention generate 64 synchronous unique sequences. Each RF communication channel uses a pair of these sequences for complex spreading (in-phase and quadrature) of the logical channels, so the generator gives 32 complex spreading sequences. The sequences are generated by a single seed which is initially loaded into a shift register circuit.

The Generation of Spreading Code Sequences and Seed Selection

The spreading code period of the present invention is defined as an integer multiple of the symbol duration, and the beginning of the code period is also the beginning of the symbol. The relation between bandwidths and the symbol lengths chosen for the exemplary embodiment of the present invention is:

WO 97/02675 PCT/US96/11059

- 11 -

BW (MHZ)	L(chips/symbol)
7	91
10	130
10.5	133
14	182
15	195

5

10

15

20

25

The spreading code length is also a multiple of 64 and of 96 for ISDN frame support. The spreading code is a sequence of symbols, called chips or chip values. The general methods of generating pseudorandom sequences using Galois Field mathematics is known to those skilled in the art; hoever, the inventor has derived a unique set, or family, of code sequences for the present invention. First, the length of the linear feedback shift register to generate a code sequence is chosen, and the initial value of the register is called a "seed". Second, the constraint is imposed that no code sequence generated by a code seed can be a cyclic shift of another code sequence generated by the same code seed. Finally, no code sequence generated from one seed can be a cyclic shift of a code sequence generated by another seed.

The inventor has determined that the spreading code length of chip values of the present invention is:

$$128 \times 233415 = 29877120 \tag{1}$$

The spreading codes are generated by combining a linear sequence of period 233415 and a nonlinear sequence of period 128

The nonlinear sequence of length 128 is implemented as a fixed sequence loaded into a shift register with a feed-back connection. The fixed sequence can be generated by an m-sequence of length 127 padded with an extra logic 0, 1, or random value as is well known in the art.

The linear sequence of length L=233415 is generated using a linear feedback shift register (LFSR) circuit with 36 stages. The feedback connections

WO 97/02675

correspond to a irreducible polynomial h(n) of degree 36. The polynomial h(x) chosen by the inventor for the exemplary embodiment of the present invention is

- 12 -

$$h(x) = x^{36} + x^{35} + x^{30} + x^{28} + x^{26} + x^{25} + x^{22} + x^{20} + x^{19} + x^{17}$$

$$+ x^{16} + x^{15} + x^{14} + x^{12} + x^{11} + x^{9} + x^{8} + x^{4} + x^{3} + x^{2} + 1$$
 (2)

A group of "seed" values for a LFSR representing the polynomial h(x) of equation (2) which generates code sequences that are nearly orthogonal with each other is determined. The first requirement of the seed values is that the seed values do not generate two code sequences which are simply cyclic shifts of each other.

The present invention includes a method to increase the number of available seeds for use in a CDMA communication system by recognizing that certain cyclic shifts of the previously determined code sequences may be used simultaneously. The round trip delay for the cell sizes and bandwidths of the present invention are less than 3000 chips. In one embodiment of the present invention, sufficiently separated cyclic shifts of a sequence can be used within the same cell without causing ambiguity for a receiver attempting to determine the code sequence. This method enlarges the set of sequences available for use.

By implementing the tests previously described, a total of 3879 primary seeds were determined by the inventor through numerical computation. These seeds are given mathematically as

$$d^{n} \text{ modulo } h(x)$$
 (3)

where 3879 values of n are listed in the appendix, with d = (00...00111).

When all primary seeds are known, all secondary seeds of the present invention are derived from the primary seeds by shifting them multiples of 4095 chips modulo h(x). Once a family of seed values is determined, these values are stored in memory and assigned to logical channels as necessary. Once assigned, the initial seed value is simply loaded into LFSR to produce the required spreading code sequence associated with the seed value.

Epoch and Sub-epoch Structures

5

10

15

25

The long complex spreading codes used for the system of the current invention have a number of chips after which the code repeats. The repetition period of the spreading sequence is called an epoch. To map the logical channels to CDMA spreading codes, the present invention uses an Epoch and Sub-epoch structure. The code period for the CDMA spreading code to modulate logical channels is 29877120 chips/code period which is the same number of chips for all bandwidths. The code period is the epoch of the present invention, and the Table 2 defines the epoch duration for the supported chip rates. In addition, two sub-epochs are defined over the spreading code epoch and are 233415 chips and 128 chips long.

The 233415 chip sub-epoch is referred to as a long sub-epoch, and is used for synchronizing events on the RF communication interface such as encryption key switching and changing from global to assigned codes. The 128 chip short epoch is defined for use as an additional timing reference. The highest symbol rate used with a single CDMA code is 64 ksym/sec. There is always an integer number of chips in a symbol duration for the supported symbol rates 64, 32, 16, and 8 ksym/s.

Bandwidth Chip Rate, number of 128 chip 233415 chip Epoch (MHz) Complex chips in a sub-epoch sub-epoch duration duration* duration* (Mchip/sec) 64 kbit/sec (sec) symbol (μs) (ms) 7 91 21.978 5.824 40.078 5.130 10 8.320 130 15.385 28,055 3.591 10.5 8.512 133 15.038 27,422 3.510 14 11.648 182 10.989 20.039 2.565

195

Table 2 Bandwidths, Chip Rates, and Epochs

12,480

5

10

15

20

15

Cyclic sequences of the prior art are generated using linear feedback shift register (LFSR) circuits. This method, however, does not generate sequences of even length. One embodiment of the spreading code sequence generator using the code seeds generated previously is shown in Figure 2a, Figure 2b, and Figure 2c. The exemplary system uses a 36 stage LFSR 201 to generate a sequence of period N'=233415= 3^3 x5x7x13x19, which is C_o in Figure 2a. In the Figures 2a, 2b, and 2c the

10.256

18.703

2.394

^{*} numbers in these columns are rounded to 5 digits.

10

15

20

25

30

WO 97/02675 PCT/US96/11059

- 14 -

symbol ⊕ represents a binary addition (EXCLUSIVE-OR). A sequence generator designed as above generates the in-phase and quadrature parts of a set of complex sequences. The tap connections and initial state of the 36 stage LFSR determine the sequence generated by this circuit. The tap coefficients of the 36 stage LFSR are determined such that the resulting sequences have the period 233415. Note that the tap connections shown in Figure 2a correspond to the polynomial given in equation (2). Each resulting sequence is then overlaid by binary addition with the 128 length sequence C to obtain the epoch period 29877120.

Figure 2b shows a Feed Forward (FF) circuit 202 which is used in the code generator. The signal X[n-1] is output of the chip delay 211, and the input of the chip delay 211 is X[n]. The code chip C[n] is formed by the logical adder 212 from the input X[n] and X[n-1]. Figure 2c shows the complete spreading code generator. From the LFSR 201, output signals go through a chain of up to 63 single stage FFs 203 cascaded as shown. The output of each FF is overlaid with the short, even code sequence C+ which has a period of 128=27, the short code sequence C is stored in code memory 222 and exhibits spectral characteristics of a pseudorandom sequence to obtain the epoch N=29877120 when combined with the sequences provided by the FFs 203. This sequence of 128 is determined by using an m-sequence (PN sequence) of length 127=27-1 and adding a bit-value, such as logic 0, to the sequence to increase the length to 128 chips. The even code sequence C. is input to the even code shift register 221, which is a cyclic register, that continually outputs the sequence. The short sequence is then combined with the long sequence using an EXCLUSIVE-OR operation 213, 214, 220.

As shown in Figure 2c, up to 63 spreading code sequences Co through Co are generated by tapping the output signals of FFs 203 and logically adding the short sequence C- in a binary adders 213, 214, and 220, for example. One skilled in the art would realize that the implementation of the FF 203 create a cumulative delay effect for the code sequences produced at each FF stage in the chain. This delay is due to the nonzero electrical delay in the electronic components of the implementation. The timing problems associated with the delay can be mitigated by inserting additional delay elements into the FF chain. An exemplary FF chain with additional delay elements is shown in Figure 2d.

The code-generators in the exemplary system are configured to generate either global codes, or assigned codes. Global codes are CDMA codes that can be received or transmitted by all users of the system. Assigned codes are CDMA codes that are allocated for a particular connection. When a family of sequences is generated from the same generator as described, only the seed of the 36 stage LFSR is specified. Sequences for all the global codes, are generated using the same LFSR circuit. Therefore, once an SU has synchronized to the Global pilot signal from an RCS and knows the seed for the LFSR circuit for the Global Channel codes, it can generate not only the pilot sequence but also all other global codes used by the RCS.

The signal that is upconverted to RF is generated as follows. The spreading sequences produced by the above shift register circuits are converted to an antipodal sequence (0 maps into +1, 1 maps into -1). The Logical channels are initially converted to QPSK signals, which are mapped as constellation points as is well known in the art. The In-phase and Quadrature channels of each QPSK signal form the real and imaginary parts of the complex data value. Similarly, two spreading codes are used to form complex spreading chip values. The complex data and complex spreading code are multiplied to produce a spread-spectrum data signal. Similarly, for despreading, the received complex data is correlated with the conjugate of the complex spreading code to recover the data signal.

20 Short Codes

5

10

15

25

30

Short codes are used for the initial ramp-up process when an SU accesses an RCS. The period of the short codes is equal to the symbol duration and the start of each period is aligned with a symbol boundary. Both the SUs and the RCS derive the real and imaginary parts of the short codes from the last eight feed-forward sections of the sequence generator to produce the global codes for that cell.

The signals represented by these short codes are known as Short Access Channel pilots (SAXPTs)

Mapping of Logical Channels to Spreading Codes

The exact relationship between the spreading code sequences and the CDMA logical channels and pilot signals is documented in Table 3a and Table 3b. Those

signal names ending in '-CH' correspond to logical channels. Those signal names ending in '-PT' correspond to pilot signals, which are described in detail below.

Table 3a: Spreading code sequences and global CDMA codes

Sequence	Quadrature	Logical Channel or Pilot Signal	Direction
Co	I	FBCH	Forward (F)
Cı	Q	FBCH	F
C₂⊕C*	I	GLPT	F
C₃⊕C*	Q	GLPT	F
C₄⊕C*	I	SBCH	F
Cs⊕C*	Q	SBCH	F
C₀⊕C*	I	CTCH (0)	F
C₁⊕C*	Q	CTCH (0)	F
C₃⊕C*	I	APCH (1)	F
C ₂ ⊕C*	Q	APCH (1)	F
Cıo⊕C•	Ī	CTCH (1)	F
Cıı⊕C•	Q	CTCH (1)	F
Cı2⊕C•	I	APCH (1)	F
С13⊕С•	Q	APCH (1)	F
Cı₄⊕C•	I	CTCH (2)	F
C15⊕C•	Q	CTCH (2)	F
C16⊕C•	I	APCH (2)	F
Cı7⊕C•	Q	APCH (2)	F
C18⊕C•	I	CTCH (3)	F
Cı9⊕C•	Q	CTCH (3)	F
C20⊕C•	I	APCH (3)	F
C21⊕C•	Q	APCH (3)	F
C 22⊕ C•	I	reserved	-
С₂⊕С∙	Q	reserved	-
• • • •			
• • • •			• • • •
C40⊕C•	<u> </u>	reserved	-
C41⊕C•	Q	reserved	-
C ₁₂ ⊕C•	I	AXCH(3)	Reverse (R)
C43⊕C•	Q	AXCH(3)	R

C44⊕C•	I	LAXPT(3)	R
		SAXPT(3) seed	
C45⊕C•	Q	LAXPT(3)	R
		SAXPT(3) seed	
С₄₅⊕С∙	I	AXCH(2)	R
C₄7⊕C•	Q	AXCH(2)	R
C48⊕C•	I	LAXPT(2)	R
		SAXPT(2) seed	
C49⊕C•	Q	LAXPT(2)	R
		SAXPT(2) seed	
C50⊕C•	I	AXCH(1)	R
Cs1⊕C•	Q	AXCH(1)	R
Cs2⊕C•	I	LAXPT(1)	R
		SAXPT(1) seed	
СяФС•	Q	LAXPT(1)	R
		SAXPT(1) seed	•
C54⊕C•	1	AXCH(0)	R
Css⊕C•	Q	AXCH(0)	R
С‰⊕С∙	I	LAXPT(0)	R
		SAXPT(0) seed	
Cs7⊕C•	Q	LAXPT(0)	R
		SAXPT(0) seed	
C58⊕C•	I	IDLE	_
C59⊕C•	Q	IDLE	-
C60⊕C•	Ĭ	AUX	R
C61⊕C•	Q	AUX	R
C62⊕C•	1	reserved	-
C63⊕C•	Q	reserved	-
			

Table 3b: Spreading code sequences and assigned CDMA codes.

Sequence	Quadrature	Logical Channel or Pilot Signal	Direction
C₀⊕C•	I	ASPT	Reverse (R)
Cı⊕C•	Q	ASPT	R
C₂⊕C•	1	APCH	R
С₃⊕С•	Q	APCH	R

C₄⊕C•	I	OWCH	R
C₅⊕C•	Q	OWCH	R
C ₆ ⊕C•	I	TRCH(0)	R
C ₇ ⊕C•	Q	TRCH(0)	R
C₃⊕C•	I	TRCH(1)	R
C ₉ ⊕C	Q	TRCH(1)	R
C10⊕C+		TRCH(2)	R
Cıı⊕C•	Q	TRCH(2)	R
C12⊕C•	1	TRCH(3)	R
C₁₃⊕C•	Q	TRCH(3)	R
C ₁₄ ⊕C•		reserved	K
C15⊕C•	Q	reserved	•
			-
	••••	••••	••••
C44⊕C•		reserved	• • • •
C45⊕C•			-
C46⊕C•	Q	reserved	-
	I	TRCH(3)	Forward (F)
C₄7⊕C•	Q	TRCH(3)	F
C48⊕C•	I	TRCH(2)	F
C49⊕C•	Q	TRCH(2)	F
C50⊕C•	I	TRCH(1)	F
Csı⊕C•	Q	TRCH(1)	F
Cs2⊕C•	I	TRCH(0)	F
C₃₃⊕C•	Q	TRCH(0)	F
C₅4⊕C•	I	OWCH	F
Css⊕C•	Q	OWCH	F
С₅6⊕С•	I	APCH	F
Cs7⊕C•	Q	APCH	F
Css⊕C•	I	IDLE	-
Cs9⊕C•	Q	IDLE	-
Сю⊕С•	I	reserved	-
С61ФС•	Q	reserved	-
C ₆₂ ⊕C•	 	reserved	<u> </u>
Cs⊕C•	Q	reserved	
	<u> </u>	1.001.700	

Pilot Signals

5

10

15

20

25

As described above, the pilot signals are used for synchronization, carrier phase recovery, and for estimating the impulse response of the radio channel. The RCS 104 transmits a forward link pilot carrier reference as a complex pilot code sequence to provide a time and phase reference for all SUs 111, 112, 115, 117 and 118 in its service area. The power level of the Global Pilot (GLPT) signal is set to provide adequate coverage over the whole RCS service area, which area depends on the cell size. With only one pilot signal in the forward link, the reduction in system capacity due to the pilot energy is negligible.

Each of the SUs 111, 112, 115, 117 and 118 transmits a pilot carrier reference as a quadrature modulated (complex-valued) pilot spreading code sequence to provide time and phase reference to the RCS for the reverse link. The pilot signal transmitted by the SU of one embodiment of the invention is 6 dB lower than the power of the 32 kbit/s POTS (plain old telephone service) traffic channel. The reverse pilot channel is subject to APC. The reverse link pilot associated with a particular connection is called the Assigned Pilot (ASPT). In addition, there are pilot signals associated with access channels, and these are called the Long Access Channel Pilots (LAXPTs). Short access channel pilots (SAXPTs) are also associated with the access channels and used for spreading code acquisition and initial power ramp-up.

All pilot signals are formed from complex codes, as defined below:

```
GLPT (forward) = \{C_2 \oplus C_{\bullet}\} + j.(C_3 \oplus C_{\bullet})\} \cdot \{(\pm 1) + j.(0)\}

\{C_3 \oplus C_{\bullet}\} \cdot \{C_3
```

The complex pilot signals are de-spread by multiplication with conjugate spreading codes: $\{(C_2 \oplus C_2) - j.(C_3 \oplus C_2)\}$. By contrast, traffic channels are of the form:

```
TRCH_n(forward/reverse) = \{(C_k \oplus C_{\bullet}) + j.(C_l \oplus C_{\bullet})\} . \{ (\pm 1) + j(\pm 1) \}\{ Complex Codes \} . \{ Data Symbol \}
```

which thus form a constellation set at $\frac{\pi}{4}$ radians with respect to the pilot signal constellations.

The GLPT constellation is shown in Figure 3a, and the TRCH_n traffic channel constellation is shown in Figure 3b.

Logical Channel Assignment of the FBCH, SBCH, and Traffic Channels

The fast broadcast channel (FBCH) is a global forward link channel used to broadcast dynamic information about the availability of services and access channels (AXCHs). The messages are sent continuously, and each message lasts approximately 1 ms. The FBCH message is 16 bits long, repeated continuously, and epoch aligned. The FBCH is formatted as defined in Table 4.

Bit Definition 0 Traffic Light 0 Traffic Light 1 2 Traffic Light 2 3 Traffic Light 3 4-7 service indicator bits Traffic Light 0 9 Traffic Light 1 10 Traffic Light 2 11 Traffic Light 3 12-15 service indicator bits

Table 4: FBCH format

10

15

20

5

For the FBCH, bit 0 is transmitted first. A traffic light corresponds to an Access Channel (AXCH) and indicates whether the particular access channel is currently in use (red) or not in use (green). A logic '1' indicates that the traffic light is green, and a logic '0' indicates the traffic light is red. The values of the traffic light bits may change from octet to octet, and each 16 bit message contains distinct service indicator bits which describe which types of service are available for the AXCHs.

One embodiment of the present invention uses service indicator bits as follows to indicate the availability of services or AXCHs. The service indicator bits {4,5,6,7,12,13,14,15} are interpreted as an unsigned binary number, with bit 4 as the MSB and bit 15 as the LSB. Each service type increment has an associated nominal measure of the capacity required, and the FBCH continuously broadcasts the available

15

20

capacity. This is scaled to have a maximum value equivalent to the largest single service increment possible. When an SU requires a new service or an increase in the number of bearers), it compares the capacity required to that indicated by the FBCH, and then considers itself blocked if the capacity is not available. The FBCH and the traffic channels are aligned to the epoch.

Slow Broadcast Information frames contain system or other general information that is available to all SUs, and Paging Information frames contain information about call requests for particular SUs. Slow Broadcast Information frames and Paging Information frames are multiplexed together on a single logical channel which forms the Slow Broadcast Channel (SBCH). As previously defined, the code epoch is a sequence of 29 877 20 chips having an epoch duration which is a function of the chip rate defined in Table 5 below. In order to facilitate power saving, the channel is divided into N "Sleep" Cycles, and each Cycle is subdivided into M Slots, which are 19 ms long, except for 10.5 Mhz bandwidth which has slots of 18 ms.

Table 5: SBCH Channel Format Outline

Bandwidth (MHz)	Spreading Code Rate (MHz)	Epoch Length (ms)	Cycles/ Epoch N	Cycle Length (ms)	Slots/ Cycle M	Slot Length (ms)
7.0	5.824	5130	5	1026	54	19
10.0	8.320	3591	3	1197	63	19
10.5	8.512	3510	3	1170	65	18
14.0	11.648	2565	3	855	45	19
15.0	12.480	2394	2	1197	63	19

Sleep Cycle Slot #1 is always used for slow broadcast information. Slots #2 to #M-1 are used for paging groups unless extended slow broadcast information is inserted. The pattern of cycles and slots in one embodiment of the present invention run continuously at 16 kbit/s.

Within each Sleep Cycle the SU may power-up the receiver and re-acquire pilot code to achieve carrier lock to a sufficient precision for satisfactory demodulation and Viterbi decoding. This settling time may be up to 3 Slots in duration. For example, an SU assigned to Slot #7 may power up the Receiver at the start of Slot #4. Having

monitored its Slot the SU either recognizes its Paging Address and initiates an access request, or fails to recognize its Paging Address in which case it reverts to the Sleep mode.

Spreading code Tracking and AMF Detection in Multipath Channels

5 Spreading code Tracking

Three CDMA spreading code tracking methods in multipath fading environments are described which track the code phase of a received multipath spread-spectrum signal. The first method uses the prior art tracking circuit which simply tracks the spreading code phase of the detector having the highest output signal value, the second method uses a tracking circuit that tracks the median value of the code phase of the group of multipath signals, and the third method of the present invention, is the centroid tracking circuit which tracks the code-phase of an optimized, least mean squared weighted average of the multipath signal components. The following describes the algorithms by which the spreading code phase of the received CDMA signal is tracked.

15

20

25

10

A tracking circuit has operating characteristics that reveal the relationship between the time error and the control voltage that drives a Voltage Controlled Oscillator (VCO) of a spreading code phase tracking circuit. When there is a positive timing error, the exemplary tracking circuit generates a negative control voltage to offset the timing error. When there is a negative timing error, the exemplary tracking circuit generates a positive control voltage to offset the timing error. When the tracking circuit generates a zero value, this value corresponds to the perfect time alignment called the 'lock-point'. Figure 3c shows the basic tracking circuit. Received signal r(t) is applied to matched filter 301, which correlates r(t) with a local code-sequence c(t) generated by Code Generator 303. The output signal of the matched filter x(t) is sampled at the sampler 302 to produce samples x[nT] and x[nT + T/2]. The samples x[nT] and x[nT + T/2] are used by a tracking circuit 304 to determine if the phase of the spreading code c(t) of the code generator 303 is correct. The tracking circuit 304 produces an error signal e(t) as an input to the code generator 303. The code generator 303 uses this signal e(t) as an input signal to adjust the code-phase it generates.

10

15

20

In a CDMA system, the signal transmitted by the reference user is written in the low-pass representation as

$$s(t) = \sum_{k=-\infty}^{\infty} c_k P_{Tc} (t - kT_c)$$
 (4)

where ck represents the spreading code coefficients, Pre(t) represents the spreading code chip waveform, and Te is the chip duration. Assuming that the reference user is not transmitting data, only the spreading code modulates the carrier. Referring to Figure 3, the received signal is

$$r(t) = \sum_{i=1}^{M} a_i s(t - \tau_i)$$
 (5)

Here, as is due to fading effect of the multipath channel on the i-th path and to is the random time delay associated with the same path. The receiver passes the received signal through a matched filter, which is implemented as a correlation receiver and is described below. This operation is done in two steps: first the signal is passed through a chip matched filter and sampled to recover the spreading code chip values, then this chip sequence is correlated with the locally generated code sequence.

Figure 3c shows the chip matched filter 301, matched to the chip waveform Pre(t), and the sampler 302. The signal x(t) at the output terminal of the chip matched filter is

$$x(t) = \sum_{i=k}^{M} \sum_{k=-\infty}^{\infty} a_i c_k g(t - \tau_i - kT_c)$$
 (6)

where

$$g(t) = P_{Te}(t) * h_{R}(t)$$
 (7)

Here, $h_R(t)$ is the impulse response of the chip matched filter and '*' denotes convolution. The order of the summations, can be rewritten as:

$$x(t) = \sum_{k=-\infty}^{\infty} c_k f(t - kT_c)$$
 (8)

where

WO 97/02675

5

15

20

25

$$f(t) = \sum_{i=1}^{M} a_i g(t - \tau_i)$$
 (9)

In the multipath channel described above, the sampler samples the output signal of the matched filter to produce x(nT) at the maximum power level points of g(t). In practice, however, the waveform g(t) is often severely distorted because of the effect of the multipath signal reception, and a perfect time alignment of the signals is not available.

When the multipath in the channel is negligible and a perfect estimate of the timing is available, i.e., $a_1=1$, $t_1=0$, and $a_1=0$, i=2,...,M, the received signal is r(t)=s(t). Then, with this ideal channel model, the output of the chip matched filter becomes

$$x(t) = \sum_{k=-\infty}^{\infty} c_k g(t - kT_c)$$
 (10)

When there is multipath fading, however, the received spreading code chip value waveform is distorted, and has a number of local maxima that can change from one sampling interval to another depending on the channel characteristics.

For multipath fading channels with quickly changing channel characteristics, it is not practical to try to locate the maximum of the waveform f(t) in every chip period interval. Instead, a time reference can be obtained from the characteristics of f(t) that may not change as quickly. Three tracking methods are described based on different characteristics of f(t).

Prior Art Spreading code Tracking Method:

Prior art tracking methods include a code tracking circuit in which the receiver attempts to determine where the maximum matched filter output value of the chip waveform occurs and sample the signal at that point. In multipath fading channels, however, the receiver despreading code waveform can have a number of local maxima, especially in a mobile environment. If f(t) represents the received signal waveform of the spreading code chip convolved with the channel impulse response, the shape of f(t) and where its maximum occurs can change rather quickly making it impractical to track the maximum of f(t).

15

20

Define τ to be the time estimate that the tracking circuit calculates during a particular sampling interval. Also, define the following error function

$$\varepsilon = \begin{cases} \int f(t)dt, & |\tau - t| > \delta \\ \{t \neq -t | > \delta\} \end{cases}$$

$$\varepsilon = 0 \qquad |\tau - t| < \delta$$
(11)

The tracking circuits of the prior art calculate a value of the input signal that minimizes the error ϵ . One can write

$$\min \varepsilon = 1 - \max_{\tau} \int_{t-\delta}^{t+\delta} f(t)dt \tag{12}$$

Assuming $f(\tau)$ has a smooth shape in the values given, the value of τ for which $f(\tau)$ is maximum minimizes the error ε , so the tracking circuit tracks the maximum point of f(t).

Median Weighted Value Tracking Method:

The Median Weighted Tracking Method of one embodiment of the present invention, minimizes the absolute weighted error, defined as

$$\varepsilon = \int_{-\infty}^{\infty} |t - \tau| f(t) dt \tag{13}$$

This tracking method calculates the 'median' signal value of f(t) by collecting information from all paths, where f(t) is as in equation (9). In a multipath fading environment, the waveform f(t) can have multiple local maxima, but only one median.

To minimize ε , the derivative of equation (13) is taken with respect to τ and equated it to zero, which gives

$$\int_{-\infty}^{r} f(t)dt = \int_{r}^{\infty} f(t)dt \tag{14}$$

The value of τ that satisfies (14) is called the 'median' of f(t). Therefore, the Median Tracking Method of the present embodiment tracks the median of f(t). Figure 4 shows an implementation of the tracking circuit based on minimizing the absolute

- 26 -

weighted error defined above. The signal x(t) and its one-half chip offset version x(t+T/2) are sampled by the analog-to-digital A/D converter 401 at a rate I/T. The

following equation determines the operating characteristic of the circuit in Figure 4:

$$\varepsilon(\tau) = \sum_{n=1}^{2L} |f(\tau - nT/2)| - |f(\tau + nT/2)| \tag{15}$$

Tracking the median of a group of multipath signals keeps the received energy of the multipath signal components equal on the early and late sides of the median point of the correct locally generated spreading code phase ca. The tracking circuit consists of an A/D converter 401 which samples an input signal x(t) to form the half chip offset samples. The half chip offset samples are alternatively grouped into even samples called an early set of samples $x(nT+\tau)$ and odd samples called a late set of samples $x(nT+(T/2)+\tau)$. The first correlation bank adaptive matched filter 402 multiplies each early sample by the spreading code phases c(n+1), c(n+2), ..., c(n+L), where L is small compared to the code length and approximately equal to number of chips of delay between the earliest and latest multipath signal. The output of each correlator is applied to a respective first sum-and-dump bank 404. The magnitudes of the output values of the L sum-and-dumps are calculated in the calculator 406 and then summed in a summer 408 to give an output value proportional to the signal energy in the early multipath signals. Similarly, a second correlation bank adaptive matched filter 403 operates on the late samples, using code phases c(n-1), c(n-2), ..., c(n-L), and each output signal is applied to a respective sum-and-dump in an integrator 405. The magnitudes of the L sum-and-dump outputs are calculated in calculator 407 and then summed in summer 409 to give a value for the late multipath signal energy. Finally, the subtractor 410 calculates the difference and produces error signal e(t) of the early and late signal energy values.

The tracking circuit adjusts, by means of error signal $e(\tau)$, the locally generated code phases c(t) to cause the difference between the early and late values to tend toward 0.

Centroid Tracking Method

5

10

15

20

25

Another spreading code tracking circuit of one embodiment of the present invention is called the squared weighted tracking (or centroid) circuit. Defining \(\tau \) to

20

25

denote the time estimate that the tracking circuit calculates, based on some characteristic of f(t), the centroid tracking circuit minimizes the squared weighted error defined as

$$\varepsilon = \int_{-\infty}^{\infty} |t - \tau|^2 f(t) dt \tag{16}$$

This function inside the integral has a quadratic form, which has a unique minimum. The value of τ that minimizes ϵ can be found by taking the derivative of the above equation with respect to τ and equating to zero, which gives

$$\int_{-\infty}^{\infty} (-2t + 2\tau) f(t) dt = 0 \tag{17}$$

Therefore, the value of τ that satisfies

$$\tau - \frac{1}{\beta} \int_{-\infty}^{\infty} t f(t) dt = 0$$
 (18)

is the timing estimate that the tracking circuit calculates, and β is a constant value.

Based on these observations, a realization of the tracking circuit minimizing the squared weighted error is shown in Figure 5. The following equation determines the error signal $e(\tau)$ of the centroid tracking circuit:

$$\varepsilon(\tau) = \sum_{n=1}^{2L} n \left[\left| f(\tau - nT/2) \right| - \left| f(\tau + nT/2) \right| \right] = 0$$
 (19)

The value that satisfies $e(\tau) = 0$ is the optimized estimate of the timing.

The early and late multipath signal energy on each side of the centroid point are equal. The centroid tracking circuit shown in Figure 5 consists of an A/D converter 501 which samples an input signal x(t), as described above with reference to Fig. 4 to form half chip offset samples. The half chip offset samples are alternatively grouped as an early set of samples $x(nT+\tau)$ and a late set of samples $x(nT+(T/2)+\tau)$. The first correlation bank adaptive matched filter 502 multiplies each early sample and each late sample by the positive spreading code phases c(n+1), c(n+2), ..., c(n+L), where L is small compared to the code length and is approximately equal to number of chips of delay between the earliest and latest multipath signal. The output signal of each correlator is applied to a respective one of L sum-and-dump circuits of the first sum and

dump bank 504. The magnitude value of the output signal produced by each sum-and-dump circuit of the sum and dump bank 504 is calculated by the respective calculator in the calculator bank 506 and applied to a corresponding weighting amplifier of the first weighting bank 508. The output signal of each weighting amplifier represents the weighted signal energy in a multipath component signal.

The weighted early multipath signal energy values are summed in sample adder 510 to give an output value that is proportional to the signal energy in the group of multipath signals corresponding to positive code phases which are the early multipath signals. Similarly, a second correlation bank adaptive matched filter 503 operates on the early and late samples, using the negative spreading code phases c(n-1), c(n-2), ..., c(n-L), each output signal is provided to a respective sum-and-dump circuit of discrete integrator 505. The magnitude value of the L sum-and-dump output signals are calculated by the respective calculator of calculator bank 507 and then weighted in weighting bank 509. The weighted late multipath signal energy values are summed in sample adder 511 to give an energy value for the group of multipath signals corresponding to the negative code phases which are the late multipath signals. Finally, the subtracter 512 calculates the difference of the early and late signal energy values to produce error sample value $e(\tau)$.

The tracking circuit of Figure 5 produces error signal $e(\tau)$ which is used to adjust the locally generated code phase c(nT) to keep the weighted average energy in the early and late multipath signal groups equal. The embodiment shown uses weighting values that increase as the distance from the centroid increases. The signal energy in the earliest and latest multipath signals is probably less than the multipath signal values near the centroid. Consequently, the difference calculated by the subtracter 512 is more sensitive to variations in delay of the earliest and latest multipath signals.

Quadratic Detector for Tracking

5

10

15

20

25

30

In another exemplary tracking method, the tracking circuit adjusts sampling phase to be "optimal" and robust to multipath. If f(t) represent the received signal waveform as in equation (9) above. The particular method of optimizing starts with a delay locked loop with an error signal $e(\tau)$ that drives the loop. The function $e(\tau)$

15

20

desirably has only one zero at $\tau = \tau_0$ where τ_0 is optimal. The optimal form for $e(\tau)$ has the canonical form:

$$e(\tau) = \int_{-\infty}^{\infty} w(t, \tau) |f(t)|^2 dt$$
 (20)

where $w(t, \tau)$ is a weighting function relating f(t) to the error $e(\tau)$, and the following holds

$$e(\tau+\tau_0) = \int_{-\infty}^{\infty} w(t, \tau+\tau_0) |f(t)|^2 dt$$
 (21)

It follows from equation (21) that $w(t, \tau)$ is equivalent to $w(t-\tau)$. Considering the slope M of the error signal in the neighborhood of a lock point τ_0 :

$$M = \frac{d\varepsilon(\tau)}{d\tau} \Big|_{\tau_0} = -\int_{-\infty}^{\infty} w'(t-\tau_0) g(t) dt$$
 (22)

where w'(t, τ) is the derivative of w(t, τ) with respect to τ , and g(t) is the average of $|f(t)|^2$.

The error $e(\tau)$ has a deterministic part and a noise part. Let z denote the noise component in $e(\tau)$, then $|z|^2$ is the average noise power in the error function $e(\tau)$. Consequently, the optimal tracking circuit maximizes the ratio:

$$F = \frac{M^2}{|z|^2} \tag{23}$$

The implementation of the Quadratic Detector is now described. The discrete error value e of an error signal $e(\tau)$ is generated by performing the operation

$$e = y^{T}By (24)$$

where the vector y represents the received signal components yi, i = 0, 1, ... L-1, as shown in Figure 5b. The matrix B is an L by L matrix and the elements are determined by calculating values such that the ratio F of equation 23 is maximized.

Determining the Minimum Value of L needed:

5

10

15

20

The value of L in the previous section determines the minimum number of correlators and sum-and-dump elements. L is chosen as small as possible without compromising the functionality of the tracking circuit.

The multipath characteristic of the channel is such that the received chip waveform f(t) is spread over QT_c seconds, or the multipath components occupy a time period of Q chips duration. The value of L chosen is L=Q. Q is found by measuring the particular RF channel transmission characteristics to determine the earliest and latest multipath component signal propagation delay. QT_c is the difference between the earliest and latest multipath component arrival time at a receiver.

The Quadratic Detector described above may be used to implement the centroid tracking system described above with reference to Figure 5a. For this implementation, the vector y is the output signal of the sum and dump circuits 504: $y = \{f(\tau-LT), f(\tau-LT+T/2), f(\tau-(L-1)T), \cdot \cdot \cdot \cdot f(\tau), f(\tau+T/2), f(\tau+T), \cdot \cdot \cdot \cdot f(\tau+LT)\}$ and the matrix B is set forth in table 6.

Table 6 B matrix for quadratic form of Centroid Tracking System

L	0	0	0	0	0	0	0	0	0	0
0	L-1/2	0	0	0	0	0	0	0	0	0
0	. 0	L-1	0	0	0	0	0	0	0	0
-		:	٠.		:		:	:		:
0	0	0	0	1/2	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	-1/2	0	0	0	0
:	:			:	:	:	•	:	:	:
0	0	0	0	0	0	0	0	-L+1	0	0
0	0	0	0	0	0	0	0	0	-L+1/2	0
0	0	0	0	0	0	0	0	0	0	-L

Adaptive Vector Correlator

An embodiment of the present invention uses an adaptive vector correlator (AVC) to estimate the channel impulse response and to obtain a reference value for

coherent combining of received multipath signal components. The described embodiment employs an array of correlators to estimate the complex channel response affecting each multipath component, then the receiver compensates for the channel response and coherently combines the received multipath signal components. This approach is referred to as maximal ratio combining.

5

10

15

20

25

30

Referring to Figure 6. The input signal x(t) to the system is composed of interference noise of other message channels, multipath signals of message channels, thermal noise, and multipath signals of the pilot signal. The signal is provided to AVC 601 which includes a despreading means 602, channel estimation means for estimating the channel response 604, correction means for correcting a signal for effects of the channel response 603, and adder 605 in the present invention. The AVC despreading means 602 is composed of multiple code correlators, with each correlator using a different phase of the pilot code c(t) provided by the pilot code generator 608. The output of this despreading means corresponds to a noise power level if the phase of the local pilot code of the despreading means is not in phase with the input code signal, or it corresponds to a received pilot signal power level plus noise power level if the input pilot code and locally generated pilot code phases are the same. The output signals of the correlators of the despreading means corrected for the channel response by the correction means 603 and are applied to the adder 605 which collects all multipath pilot signal power. The channel response estimation means 604 receives the combined pilot signal and the output signals of the despreading means 602, and provides a channel response estimate signal, w(t), to the correction means 603 of the AVC, and the estimate signal w(t) is also available to the adaptive matched filter (AMF) described subsequently. The output signal of the despreading means 602 is also provided to the acquisition decision means 606 which decides, based on a particular algorithm, such as a sequential probability ratio test (SPRT), if the present output levels of the despreading circuits correspond to synchronization of the locally generated code to the desired input code phase. If the detector finds no synchronization, then the acquisition decision means sends a control signal a(t) to the local pilot code generator 608 to offset its phase by one or more chip periods. When synchronization is found, the acquisition decision means informs the

tracking circuit 607, which achieves and maintains a close synchronization between the received and locally generated code sequences.

5

10

15

20

25

30

An exemplary implementation of the Pilot AVC used to despread the pilot spreading code is shown in Figure 7. The described embodiment assumes that the input signal x(t) has been sampled with sampling period T to form $x(nT+\tau)$, and is composed of interference noise of other message channels, multipath signals of message channels, thermal noise, and multipath signals of the pilot code. The signal $x(nT+\tau)$ is applied to L correlators, where L is the number of code phases over which the uncertainty within the multipath signals exists. Each correlator 701, 702, 703 comprises a respective multiplier 704, 705, 706, which multiples the input signal with a particular phase of the Pilot chip code signal c((n+i)T), and a sum-and-dump circuit 708, 709, 710. The output signal of each multiplier 704, 705, 706 is applied to a respective sum-and dump circuit 708, 709, 710 to perform discrete integration. Before summing the signal energy contained in the outputs of the correlators, the AVC compensates for the channel response and the carrier phase rotation of the different multipath signals. Each output signal of each sum-anddump 708, 709, 710 is multiplied by a derotation phasor [complex conjugate of ep(nT)] obtained from the digital phase lock loop (DPLL) 721. This phasor is applied to one input port of a respective multiplier 714, 715, 716 to account for the phase and frequency offset of the carrier signal. The Pilot Rake AMF calculates, complex weighting factors, wk, k=1, ..., L, for each multipath signal by passing the output of each multiplier 714, 715, 716 through a low pass filter (LPF) 711, 712, 713. Each despread multipath signal is multiplied by its corresponding weighting factor in a respective multiplier 717, 718, 719. The output signals of the multipliers 717, 718, 719 are summed in a master adder 720. and the output signal p(nT) of the accumulator 720 consists of the combined despread multipath pilot signals in noise. The output signal p(nT) is also applied to the DPLL 721 to produce the error signal ep(nT) for tracking of the carrier phase.

Figures 8a and 8b show alternate embodiments of the AVC which can be used for detection and multipath signal component combining. The message signal AVCs of Figures 8a and 8b use the weighting factors produced by the Pilot AVC to correct the message data multipath signals. The spreading code signal, c(nT) is the spreading

15

20

sequence used by a particular message channel and is synchronous with the pilot spreading code signal. The value L is the number of correlators in the AVC circuit

The circuit of Figure 8a calculates the decision variable Z which is given by

$$Z = w_1 \sum_{i=1}^{N} x(iT + \tau)c(iT) + w_2 \sum_{i=1}^{N} x(iT + \tau)c((i+1)T)$$

$$+ \bullet \bullet \bullet + w_L \sum_{i=1}^{L} x(iT + \tau) + c((i+L)T)$$
(25)

where N is the number of chips in the correlation window. Equivalently, the decision statistic is given by

10
$$Z = x(T+\tau) \sum_{i=1}^{L} w_{i} c(iT) + x(2T+\tau) \sum_{i=1}^{L} w_{2} c((i+1)T)$$

$$+ \bullet \bullet \bullet + x(NT+\tau) \sum_{i=1}^{L} w_{N} c((i+N)T)$$

$$= \sum_{k=1}^{N} x(kT_{-}\tau) \sum_{i=1}^{L} w_{k} c((i+k-1)T)$$
(26)

The alternative implementation that results from equation (26) is shown in Figure 8b.

Referring to Figure 8a, the input signal x(t) is sampled to form $x(nT+\tau)$, and is composed of interference noise of other message channels, multipath signals of message channels, thermal noise, and multipath signals of the pilot code. The signal $x(nT+\tau)$ is applied to L correlators, where L is the number of code phases over which the uncertainty within the multipath signals exists. Each correlator 801, 802, 803 comprises a multiplier 804, 805, 806, which multiples the input signal by a particular phase of the message channel spreading code signal, and a respective sum-and-dump circuit 808, 809, 810. The output of each multiplier 804, 805, 806 is applied to a respective sum-and dump circuit 808, 809, 810 which performs discrete integration. Before summing the signal energy contained in the output signals of the correlators, the AVC compensates for the

WO 97/02675

5

10

15

20

25

30

different multipath signals. Each despread multipath signal and its corresponding weighting factor, which is obtained from the corresponding multipath weighting factor of the pilot AVC, are multiplied by multiplier 817, 818, 819. The output signals of the multipliers 817, 818, 819. The output signals of the multipliers 817, 818, 819 are summed in a master adder 820, and the output signal z(nT) of the accumulator 820 consists of sampled levels of a despread message signal in noise.

The alternative embodiment of the invention includes a new implementation of the AVC despreading circuit for the message channels which performs the sum-and-dump for each multipath signal component simultaneously. The advantage of this circuit is that only one sum-and dump circuit and one adder is necessary. Referring to Figure 8b, the message code sequence generator 830 provides a message code sequence to shift register 831 of length L. The output signal of each register 832, 833, 834, 835 of the shift register 831 corresponds to the message code sequence shifted in phase by one chip. The output value of each register 832, 833, 834, 835 is multiplied in multipliers 836, 837, 838, 839 with the corresponding weighting factor w_k , k=1, ..., L obtained from the Pilot AVC. The output signals of the L multipliers 836, 837, 838, 839 are summed by the adding circuit 840. The adding circuit output signal and the receiver input signal $x(nT + \tau)$ are then multiplied in the multiplier 841 and integrated by the sum-and-dump circuit 842 to produce message signal z(nT).

A third embodiment of the adaptive vector correlator is shown in Figure 8c. This embodiment uses the least mean square (LMS) statistic to implement the vector correlator and determines the derotation factors for each multipath component from the received multipath signal. The AVC of Figure 8c is similar to the exemplary implementation of the Pilot AVC used to despread the pilot spreading code shown in Figure 7. The digtal phase locked loop 721 is replaced by a phase locked loop 850 having a voltage controlled oscillator 851, loop filter 852, limiter 853, and imaginary component separator 854. The difference between the corrected despread output signal dos and an ideal despread output is provided by adder 855, and the difference signal is a despread error value ide which is further used by the derotation circuits to compensate for errors in the derotation factors.

- 35 -

In a multipath signal environment, the signal energy of a transmitted symbol is spread out over the multipath signal components. The advantage of multipath signal addition is that a substantial portion of signal energy is recovered in an output signal from the AVC. Consequently, a detection circuit has an input signal from the AVC with a higher signal-to-noise ratio (SNR), and so can detect the presence of a symbol with a lower bit-error ratio (BER). In addition, measuring the output of the AVC is a good indication of the transmit power of the transmitter, and a good measure of the system's interference noise.

Adaptive Matched Filter

10

15

5

One embodiment of the current invention includes an Adaptive Matched Filter (AMF) to optimally combine the multipath signal components in a received spread spectrum message signal. The AMF is a tapped delay line which holds shifted values of the sampled message signal and combines these after correcting for the channel response. The correction for the channel response is done using the channel response estimate calculated in the AVC which operates on the Pilot sequence signal. The output signal of the AMF is the combination of the multipath components which are summed to give a maximum value. This combination corrects for the distortion of multipath signal reception. The various message despreading circuits operate on this combined multipath component signal from the AMF.

20

25

30

Figure 8d shows an exemplary embodiment of the AMF. The sampled signal from the A/D converter 870 is applied to th L-stage delay line 872. Each stage of this delay line 872 holds the signal corresponding to a different multipath signal component. Correction for the channel response is applied to each delayed signal component by multiplying the component in the respective multiplier of multiplier bank 874 with the respective weighting factor w₁, w₂, ..., w_L from the AVC corresponding to the delayed signal component. All weighted signal components are summed in the adder 876 to give the combined multipath component signal y(t).

The combined multipath component signal y(t) does not include the correction due to phase and frequency offset of the carrier signal. The correction for the phase and frequency offset of the carrier signal is made to y(t) by multiplying y(t) with

carrier phase and frequency correction (derotation phasor) in multiplier878. The phase and frequency correction is produced by the AVC as described previously. Figure 8d shows the correction before the despreading circuits 880, but alternate embodiments of the invention can apply the correction after the despreading circuits.

5 The Radio Carrier Station (RCS)

10

15

20

25

The Radio Carrier Station (RCS) of the present invention acts as a central interface between the SU and the remote processing control network element, such as a Radio Distribution Unit (RDU). The interface to the RDU of the exemplary system follows the G.704 standard and an interface according to a modified version of DECT V5.1, but the present invention may support any interface that can exchange call control and traffic channels. The RCS receives information channels from the RDU including call control data, and traffic channel data such as, but not limited to, 32 kb/s ADPCM, 64 kb/s PCM, and ISDN, as well as system configuration and maintenance data. The RCS also terminates the CDMA radio interface bearer channels with SUs, which channels include both control data, and traffic channel data. In response to the call control data from either the RDU or a SU, the RCS allocates traffic channels to bearer channels on the RF communication link and establishes a communication connection between the SU and the telephone network through an RDU.

As shown in Figure 9, the RCS receives call control and message information data into the MUXs 905, 906 and 907 through interface lines 901, 902 and 903. Although E1 format is shown, other similar telecommunication formats can be supported in the same manner as described below. Each MUX provides a connection to the Wireless Access Controller (WAC) 920 through the PCM highway 910. While the exemplary system shown in Figure 1 uses an E1 Interface, it is contemplated that other types of telephone lines which convey multiple calls may be used, for example, T1 lines or lines which interface to a Private Branch Exchange (PBX).

The Wireless Access Controller (WAC) 920 is the RCS system controller which manages call control functions and interconnection of data streams between the MUXs 905, 906, 907 and the Modern Interface Units (MIUs) 931, 932, 933. The WAC

920 also controls and monitors other RCS elements such as the VDC 940, RF 950, and Power Amplifiers 960.

A low speed bus 912 is connected to the WAC 920 for transferring control and status signals between the RF Transmitter/Receiver 950, VDC 940, RF 950 and Power Amplifier 960. The control signals are sent from the WAC 920 to enable or disable the RF Transmitters/Receiver 950 or Power amplifier 960, and the status signals are sent from the RF Transmitters/Receiver 950 or Power amplifier 960 to monitor the presence of a fault condition.

5

10

15

20

25

30

The exemplary RCS contains at least one MIU 931, which is shown in Figure 10. The MIU of the exemplary embodiment includes six CDMA modems, but the invention is not limited to this number of modems. The MIU includes: a System PCM Highway 1201 connected to each of the CDMA Modems 1210, 1211, 1212, 1215 through a PCM Interface 1220; a Control Channel Bus 1221 connected to MIU controller 1230 and each of the CDMA Modems 1210, 1211, 1212, 1213; an MIU clock signal generator (CLK) 1231; and a modem output combiner 1232. The MIU provides the RCS with the following functions: the MIU controller receives CDMA Channel Assignment Instructions from the WAC and assigns a first modem to a user information signal which is applied to the line interface of the MUX and a second modem to receive the CDMA channel from the SU; the MIU also combines the CDMA Transmit Modem Data for each of the MIU CDMA modems; multiplexes I and Q transmit message data from the CDMA modems for transmission to the VDC; receives Analog I and Q receive message data from the VDC; distributes the I and Q data to the CDMA modems; transmits and receives digital AGC Data; distributes the AGC data to the CDMA modems; and sends MIU Board Status and Maintenance Information to the WAC 920.

The MIU controller 1230 of the exemplary embodiment of the present invention contains one communication microprocessor 1240, such as the MC68360 "QUICC" Processor, and includes a memory 1242 having a Flash Prom memory 1243 and a SRAM memory 1244. Flash Prom 1243 is provided to contain the program code for the Microprocessors 1240, and the memory 1243 is downloadable and reprogrammable to support new program versions. SRAM 1244 is provided to contain the temporary data

space needed by the MC68360 Microprocessor 1240 when the MIU controller 1230 reads or writes data to memory

The MIU CLK circuit 1231 provides a timing signal to the MIU controller 1230, and also provides a timing signal to the CDMA modems. The MIU CLK circuit 1231 receives and is synchronized to the system clock signal wo(t). The controller clock signal generator 1213 also receives and synchronizes to the spreading code clock signal pn(t) which is distributed to the CDMA modems 1210, 1211, 1212, 1215 from the MUX.

5

10

15

20

25

30

The RCS of the present embodiment includes a System Modem 1210 contained on one MIU. The System Modem 1210 includes a Broadcast spreader (not shown) and a Pilot Generator (not shown). The Broadcast Modem provides the broadcast information used by the exemplary system, and the broadcast message data is transferred from the MIU controller 1230 to the System Modem 1210. The System Modem also includes four additional modems (not shown) which are used to transmit the signals CT1 through CT4 and AX1 through AX4. The System Modem 1210 provides unweighted I and Q Broadcast message data signals which are applied to the VDC. The VDC adds the Broadcast message data signal to the MIU CDMA Modem Transmit Data of all CDMA modems 1210, 1211, 1212, 1215, and the Global Pilot signal.

The Pilot Generator (PG) 1250 provides the Global Pilot signal which is used by the present invention, and the Global Pilot signal is provided to the CDMA modems 1210, 1211, 1212, 1215 by the MIU controller 1230. Other embodiments of the present invention, however, do not require the MIU controller to generate the Global Pilot signal, but include a Global Pilot signal generated by any form of CDMA Code Sequence generator. In the described embodiment of the invention, the unweighted I and Q Global Pilot signal is also sent to the VDC where it is assigned a weight, and added to the MIU CDMA Modem transmit data and Broadcast message data signal.

System timing in the exemplary RCS is derived from the E1 interface. There are four MUXs in an RCS, three of which (905, 906 and 907) are shown in Figure 9. Two MUXs are located on each chassis. One of the two MUXs on each chassis is designated as the master, and one of the masters is designated as the system master. The MUX which is the system master derives a 2.048 Mhz PCM clock signal from the E1

interface using a phase locked loop (not shown). In turn, the system master MUX divides the 2.048 Mhz PCM clock signal in frequency by 16 to derive a 128 KHz reference clock signal. The 128 KHz reference clock signal is distributed from the MUX that is the system master to all the other MUXs. In turn, each MUX multiplies the 128 KHz reference clock signal in frequency to synthesize the system clock signal which has a frequency that is twice the frequency of the PN-clock signal. The MUX also divides the 128 KHz clock signal in frequency by 16 to generate the 8 KHz frame synch signal which is distributed to the MIUs. The system clock signal for the exemplary embodiment has a frequency of 11.648 Mhz for a 7 MHz bandwidth CDMA channel. Each MUX also divides the system clock signal in frequency by 2 to obtain the PN-clock signal and further divides the PN-clock signal in frequency by 29 877 120 (the PN sequence length) to generate the PN-synch signal which indicates the epoch boundaries. The PN-synch signal from the system master MUX is also distributed to all MUXs to maintain phase alignment of the internally generated clock signals for each MUX. The PN-synch signal and the frame synch signal are aligned. The two MUXs that are designated as the master MUXs for each chasis then distribute both the system clock signal and the PN-clock signal to the MIUs and the VDC.

The PCM Highway Interface 1220 connects the System PCM Highway 911 to each CDMA Modem 1210, 1211, 1212, 1215. The WAC controller transmits Modem Control information, including traffic message control signals for each respective user information signal, to the MIU controller 1230 through the HSB 970. Each CDMA Modem 1210, 1211, 1212, 1215 receives a traffic message control signal, which includes signaling information, from the MIU. Traffic message control signals also include call control (CC) information and spreading code and despreading code sequence information.

25

30

5

10

15

20

The MIU also includes the Transmit Data Combiner 1232 which adds weighted CDMA modem transmit data including In-phase (I) and Quadrature (Q) modem transmit data from the CDMA modems 1210, 1211, 1212, 1215 on the MIU. The I modem transmit data is added separately from the Q modem transmit data. The combined I and Q modem transmit data output signal of the Transmit Data Combiner 1232 is applied to the I and Q multiplexer 1233 that creates a single CDMA transmit message

channel composed of the I and Q modern transmit data multiplexed into a digital data stream.

The Receiver Data Input Circuit (RDI) 1234 receives the Analog Differential I and O Data from the Video Distribution Circuit (VDC) 940 shown in Figure 9 and distributes Analog Differential I and Q Data to each of the CDMA Modems 1210, 1211, 1212, 1215 of the MIU. The Automatic Gain Control Distribution Circuit (AGC) 1235 receives the AGC Data signal from the VDC and distributes the AGC Data to each of the CDMA Modems of the MIU. The TRL circuit 1233 receives the Traffic lights information and similarly distributes the Traffic light data to each of the Modems 1210, 1211, 1212, 1215.

The CDMA Modem

5

10

15

20

The CDMA modem provides for generation of CDMA spreading code sequences, synchronization between transmitter and receiver. It also provides four full duplex channels (TR0, TR1, TR2, TR3) programmable to 64, 32, 16, and 8 ksym/sec. each, spreading and transmission at a specific power level. The CDMA modem measures the received signal strength to allow Automatic Power Control, it generates and transmits pilot signals, encodes and decodes using the signal for forward error correction (FEC). The moden in a subscriber unit (SU) also performs transmitter spreading-code pulse shaping using an FIR filter. The CDMA modem is also used by the SU and, in the following discussion, those features which are used only by the SU are distinctly pointed out. The operating frequencies of the CDMA modem are given in Table 7.

Table 7 Operating Frequencies

Bandwidth (MHz)	Chip Rate (MHz)	Symbol Rate (KHz)	Gain (Chips/Symbol)
7	5.824	64	91
10	8.320	64	130
10.5	8.512	64	133
14	11.648	64	182
15	12.480	64	195

Each CDMA modem 1210, 1211, 1212,71215 of Figure 10, and as shown in Figure 11, is composed of a transmit section 1301 and a receive section 1302. Also included in the CDMA modem is a control center 1303 which receives control messages CNTRL from the external system. These messages are used, for example, to assign particular spreading codes, to activate the spreading or despreading, or to assign transmission rates. In addition, the CDMA modem has a code generator means 1304 used to generate the various spreading and despreading codes used by the CDMA modem. The transmit section 1301 transmits the input information and control signals m_i(t), i=1,2,...I as spread-spectrum processed user information signals $sc_i(t)$, j=1,2...J. The transmit section 1301 receives the global pilot code from the code generator 1304 which is controlled by the control means 1303. The spread spectrum processed user information signals are ultimately added with other similarly processed signals and transmitted as CDMA channels over the CDMA RF forward message link, for example to the SUs. The receive section 1302 receives CDMA channels as r(t) and despreads and recovers the user information and control signals rox(t), k=1,2,...K transmitted over the CDMA RF reverse message link, for example to the RCS from the SUs.

CDMA Modem Transmitter Section

5

10

15

20

25

30

Referring to Figure 12, the code generator means 1304 includes Transmit Timing Control Logic 1401 and spreading code PN-Generator 1402, and the Transmit Section 1301 includes MODEM Input Signal Receiver (MISR) 1410, Convolution Encoders 1411, 1412, 1413, 1414, Spreaders 1420, 1421, 1422, 1423, 1424, and Combiner 1430. The Transmit Section 1301 receives the message data channels MESSAGE, convolutionally encodes each message data channel in the respective convolutional encoder 1411, 1412, 1413, 1414, modulates the data with random spreading code sequence in the respective spreader 1420, 1421, 1422, 1423, 1424, and combines modulated data from all channels, including the pilot code received in the described embodiment from the code generator, in the combiner 1430 to generate I and Q components for RF transmission. The Transmitter Section 1301 of the present embodiment supports four (TR0, TR1, TR2, TR3) 64, 32, 16, 8 Kbps programmable channels. The message channel data is a time multiplexed signal received from the PCM highway 1201 through PCM interface 1220 and input to the MISR 1410.

Figure 13 illustrates the block diagram of the MISR 1410. For the exemplary embodiment of the present invention, a counter is set by the 8 KHz frame synchronization signal MPCMSYNC and is incremented by 2.048 MHz MPCMCLK from the timing circuit 1401. The counter output is compared by comparator 1502 against TRCFG values corresponding to slot time location for TR0, TR1, TR2, TR3 message channel data; and the TRCFG values are received from the MIU Controller 1230 in MCTRL. The comparator sends a count signal to the registers 1505, 1506, 1507, 1508 which clocks message channel data into buffers 1510, 1511, 1512, 1513 using the TXPCNCLK timing signal derived from the system clock. The message data is provided from the signal MSGDAT from the PCM highway signal MESSAGE when enable signals TR0EN, TR1EN, TR2EN and TR3EN from Timing Control Logic 1401 are active. In further embodiments, MESSAGE may also include signals that enable registers depending upon an encryption rate or data rate. If the counter output is equal to one of the channel location addresses, the specified transmit message data in registers 1510, 1511, 1512, 1513 are input to the convolutional encoders 1411, 1412, 1413, 1414 shown in Figure 12.

5

10

15

20

25

30

The convolutional encoder enables the use of Forward error correction (FEC) techniques, which are well known in the art. FEC techniques depend on introducing redundancy in generation of data in encoded form. Encoded data is transmitted and the redundancy in the data enables the receiver decoder device to detect and correct errors. One exemplary system which uses a modem according to the present invention employs convolutional encoding. Additional data bits are added to the data in the encoding process and are the coding overhead. The coding overhead is expressed as the ratio of data bits transmitted to the tool bits (code data + redundant data) transmitted and is called the rate "R" of the code

Convolution codes are codes where each code bit is generated by the convolution of each new uncoded bit with a number of previous coded bits. The total number of bits used in the encoding process is referred to as the constraint length, "K", of the code. In convolutional coding, data is clocked into a shift register of K bits length so that an incoming bit is clocked into the register, and it and the existing K-1 bits are convolutionally encoded to create a new symbol. The convolution process consists of

10

15

20

25

30

creating a symbol consisting of a module-2 sum of a certain pattern of available bits, always including the first bit and the last bit in at least one of the symbols.

Figure 14 shows the block diagram of K=7, R=1/2 convolution encoder suitable for use as the encoder 1411 shown in Figure 12. This circuit encodes the TR0 Channel as used in one embodiment of the present invention. Seven-bit Register 1601 with stages Q1 through Q7 uses the signal TXPNCLK to clock in TR0 data when the TR0EN signal is asserted. The output value of stages Q1, Q2, Q3, Q4, Q6, and Q7 are each combined using EXCLUSIVE-OR Logic 1602, 1603 to produce respective I and Q channel FEC data for the TR0 channel FECTR0DI and FECTR0DO.

Two output symbol streams FECTRODI and FECTRODQ are generated. The FECTRODI symbol stream is generated by EXCLUSIVE OR Logic 1602 of shift register outputs corresponding to bits 6, 5, 4,3, and 0, (Octal 171) and is designed as In phase component "I" of the transmit message channel data. The symbol stream FECTRODQ is likewise generated by EXCLUSIVE-OR logic 1603 of shift register outputs from bits 6, 4 3, 1 and 0, (Octal 133) and is designated as Quadrature component "Q" of the transmit message channel data. Two symbols are transmitted to represent a single encoded bit creating the redundancy necessary to enable error correction to take place on the receiving end.

Referring to Figure 14, the shift enable clock signal for the transmit message channel data is generated by the Control Timing Logic 1401. The convolutionally encoded transmit message channel output data for each channel is applied to the respective spreader 1420, 1421, 1422, 1423, 1424 which multiplies the transmit message channel data by its preassigned spreading-code sequence from code generator 1402. This spreading-code sequence is generated by control 1303 as previously described, and is called a random pseudonoise signature sequence (PN-code).

The output signal of each spreader 1420, 1421, 1422, 1423, 1424 is a spread transmit data channel. The operation of the spreader is as follows: the spreading of channel output (I + jQ) multiplied by a random sequence (PNI + jPNQ) yields the Inphase component I of the result being composed of (I xor PNI) and (-Q xor PNQ).

Quadrature component Q of the result is (Q xor PNI) and (I xor PNQ). Since there is no

channel data input to the pilot channel logic (I=1, Q values are prohibited), the spread output signal for pilot channels yields the respective sequences PNI for I component and PNQ for Q component.

The combiner 1430 receives the I and Q spread transmit data channels and combines the channels into an I modern transmit data (TXIDAT) and Q modern transmit data (TXQDAT) signals. The I-spread transmit data and the Q spread transmit data are added separately.

For an SU, the CDMA modem Transmit Section 1301 includes the FIR filters to receive the I and Q channels from the combiner to provide pulse shaping, close-in spectral control and x / sin (x) correction on the transmitted signal. Separate but identical FIR filters (not shown) receive the I and Q spread transmit data streams at the chipping rate, and the output signal of each of the filters is at twice the chipping rate. The FIR filters are 28 tap even symmetrical filters, which upsample (interpolate) by 2. The upsampling occurs before the filtering, so that 28 taps refers to 28 taps at twice the chipping rate, and the upsampling is accomplished by setting every other sample a zero. Exemplary coefficients are shown in Table 8.

Table 8 - Coefficient Values

Coeff.No.: 0 1 2 3 4 5 6 7 8 9 10 11 12 13

Value: 3 -11 -34 -22 19 17 32 19 52 24 94 -31 277 468

Coeff.No. 14 15 16 17 18 19 20 21 22 24 25 26 27

Value 277 -31 -94 24 52 -19 -32 17 19 -22 -34 -11 3

CDMA Modem Receiver Section

5

10

15

20

25

30

Referring to Figures 9 and 10, the RF receiver 950 of the present embodiment accepts analog input I and Q CDMA channels, which are transmitted to the CDMA modems 1210, 1211, 1212, 1215 through the MIUs 931, 932, 933 from the VDC 940. These I and Q CMDA channel signals are sampled by the CDMA modem receive section 1302 (shown in Figure 11) and converted to I and Q digital receive message signal using an Analog to Digital (A/D) converter 1730 of Figure 15. The sampling rate of the A/D converter of the exemplary embodiment of the present invention is equivalent to the

10

15

20

25

30

despreading code rate. The I and Q digital receive message signals are then despread with correlators using six different complex despreading code sequences corresponding to the spreading code sequences of the four channels (TR0, TR1, TR2, TR3), APC information and the pilot code.

Time synchronization of the receiver to the received signal is separated into two phases; there is an initial acquisition phase and then a tracking phase after the signal timing has been acquired. The initial acquisition is done by sliding the locally generated pilot code sequence relative to the received signal and comparing the output signal of the pilot despreader to a threshold. The method used is called sequential search. Two thresholds (match and dismiss) are calculated from the auxiliary despreader. Once the signal is acquired, the search process is stopped and tracking begins. The tracking maintains the code generator 1304 (shown in Figures 11 and 15) used by the receiver in synchronization with the incoming signal. The tracking loop used is the Delay-Locked Loop (DLL) and is implemented in the acquisition & track 1701 and the IPM 1702 blocks of Figure 15.

In Figure 11, the modem controller 1303 implements the Phase Lock Loop (PLL) as a software algorithm in SW PLL logic 1724 of Figure 15 that calculates the phase and frequency shift in the received signal relative to the transmitted signal. The calculated phase shifts are used to derotate the phase shifts in rotate and combine blocks 1718, 1719, 1720, 1721 of the multipath data signals for combining to produce output signals corresponding to receive channels TRO', TR1', TR2', TR3'. The data is then Viterbi decoded in Viterbi Decoders 1713, 1714, 1715, 1716 to remove the convolutional encoding in each of the received message channels.

Figure 15 indicates that the Code Generator 1304 provides the code sequences Pn₂(t), I=1,2, ...I used by the receive channel despreaders 1703, 1704, 1705, 1706, 1707, 1708, 1709. The code sequences generated are timed in response to the SYNK signal of the system clock signal and are determined by the CCNTRL signal from the modem controller 1303 shown in Figure 11. Referring to Figure 15, the CDMA modem receiver section 1302 includes Adaptive Matched Filter (AMF) 1710, Channel despreaders 1703, 1704, 1705, 1706, 1707, 1708, 1709, Pilot AVC 1711, Auxiliary AVC 1712, Viterbi decoders 1713, 1714, 1715, 1716, Modem output interface (MOI) SUBSTITUTE SHEET (RULE 26)

10

15

20

25

30

1717, Rotate and Combine logic 1718, 1719, 1720, 1721, AMF Weight Generator 1722, and Quantile Estimation logic 1723.

In another embodiment of the invention, the CDMA modern receiver may also include a Bit error Integrator to measure the BER of the channel and idle code insertion logic between the Viterbi decoders 1713, 1714, 1715, 1716 and the MOI 1717 to insert idle codes in the event of loss of the message data.

The Adaptive Matched Filter (AMF) 1710 resolves multipath interference introduced by the air channel The exemplary AMF 1717 uses an stage complex FIR filter as shown in Figure 16. The received I and Q digital message signals are received at the register 1820 from the A/D converter 1730 of Figure 15 and are multiplied in multipliers 1801, 1802, 1803, 1810, 1811 by I and Q channel weights W1 to W11 received from AMF weight generator 1722 of Figure 15. In the exemplary embodiment, the A/D converter 1730 provides the I and Q digital receive message signal data as 2's complement 6 bits I and 6 bits Q which are clocked through an 11 stage shift register 1820 responsive to the receive spreading code clock signal RXPNCLK. The signal RXPNCLK is generated by the timing section 1401 of code generation logic 1304. Each stage of the shift register is tapped and complex multiplied in the multipliers 1801, 1802, 1803, 1810, 1811 by individual (6-bit I and 6-bit Q) weights to provide 11 tap-weighted products which are added in adder 1830, and limited to 7-bit I and 7-bit O values.

The CDMA modem receive section 1302 (shown in Figure 11) provides independent channel despreaders 1703, 1704, 1705, 1706, 1707, 1708, 1709 (shown in Figure 15) for despreading the message channels. The described embodiment despreads 7 message channels, each despreader accepting a 1-bit I b 1-bit Q spreading code signal to perform a complex correlation of this code against a 8-bit I by 8-bit Q data input. The 7 despreaders correspond to the 7 channels: Traffic Channel 0 (TR0'), TR1', TR2', TR3', AUX (a spare channel), Automatic Power Control (APC) and pilot (PLT).

The Pilot AVC 1711 shown in Figure 17 receives the I and Q Pilot Spreading code sequence values PCI and PCQ into shift register 1920 responsive to the timing signal RXPNCLK, and includes 11 individual despreaders 1901 through 1911 each correlating the I and Q digital receive message signal data with a one chip delayed

versions of the same pilot code sequence. Signals OE1, OE2, ..OE11 are used by the modem control 1303 to enable the despreading operation. The output signals of the despreaders are combined in combiner 1920 forming correlation signal DSPRDAT of the Pilot AVC 1711, which is received by the ACQ & Track logic 1701 (shown in Figure 15), and ultimately by modem controller 1303 (shown in Figure 11). The ACQ & Track logic 1701 uses the correlation signal value to determine if the local receiver is synchronized with its remote transmitter.

5

10

15

20

25

The Auxiliary AVC 1712 also receives the I and Q digital receive message signal data and, in the described embodiment, includes four separate despreaders 2001, 2002, 2003, 2004 as shown in Figure 18. Each despreader receives and correlates the I and Q digital receive message data with delayed versions of the same despreading-code sequence PARI and PARQ which are provided by code generator 1304 input to and contained in shift register 2020. The output signals of the despreaders 2001, 2002, 2003, 2004 are combined in combiner 2030 which provides noise correlation signal ARDSPRDAT. The auxiliary AVC despreading code sequence does not correspond to any transmit spreading-code sequence of the system. Signals OE1, OE2, ...OE4 are used by the modem control 1303 to enable the despreading operation. The Auxiliary AVC 1712 provides a noise correlation signal ARDSPRDAT from which quantile estimates are calculated by the Quantile estimator 1733, and provides a noise level measurement to the ACQ & Track logic 1701 (shown in Figure 15) and modem controller 1303 (shown in Figure 11).

Each despread channel output signal corresponding to the received message channels TRO', TR1', TR2', and TR3' is input to a corresponding Viterbi decoder 1713, 1714, 1715, 1716 shown in Figure 15 which performs forward error correction on convolutionally encoded data. The Viterbi decoders of the exemplary embodiment have a constraint length of K=7 and a rate of R=1/2. The decoded despread message channel signals are transferred from the CDMA modem to the PCM Highway 1201 through the MOI 1717. The operation of the MOI is very similar to the operation of the MISR of the transmit section 1301 (shown in Figure 11), except in reverse.

WO 97/02675

5

10

15

20

25

- 48 -

PCT/US96/11059

The CDMA modem receiver section 1302 implements several different algorithms during different phases of the acquisition, tracking and despreading of the receive CDMA message signal.

When the received signal is momentarily lost (or severely degraded) the idle code insertion algorithm inserts idle codes in place of the lost or degraded receive message data to prevent the user from hearing loud noise bursts on a voice call. The idle codes are sent to the MOI 1717 (shown in Figure 15) in place of the decoded message channel output signal from the Viterbi decoders 1713, 1714, 1715, 1716. The idle code used for each traffic channel is programmed by the Modem Controller 1303 by writing the appropriate pattern IDLE to the MOI, which in the present embodiment is a 8 bit word for a 64 kbps stream, 4 bit word for a 32 kbps stream.

Modem Algorithms for Acquisition and Tracking of Received Pilot Signal

The acquisition and tracking algorithms are used by the receiver to determine the approximate code phase of a received signal, synchronize the local modem receiver despreaders to the incoming pilot signal, and track the phase of the locally generated pilot code sequence with the received pilot code sequence. Referring to Figures 11 and 15, the algorithms are performed by the Modem controller 1303, which provides clock adjust signals to code generator 1304. These adjust signals cause the code generator for the despreaders to adjust locally generated code sequences in response to measured output values of the Pilot Rake 1711 and Quantile values from quantile estimators 1723B. Quantile values are noise statistics measured from the In-phase and Quadrature channels from the output values of the AUX Vector Correlator 1712 (shown in Figure 15). Synchronization of the receiver to the received signal is separated into two phases; an initial acquisition phase and a tracking phase. The initial acquisition phase is accomplished by clocking the locally generated pilot spreading code sequence at a higher or lower rate than the received signal's spreading code rate, sliding the locally generated pilot spreading code sequence and performing sequential probability ratio test (SPRT) on the output of the Pilot Vector correlator 1711. The tracking phase maintains the locally generated spreading code pilot sequence in synchronization with the incoming pilot signal.

10

15

20

25

30

The SU cold acquisition algorithm is used by the SU CDMA modem when it is first powered up, and therefore has no knowledge of the correct pilot spreading code phase, or when an SU attempts to reacquire synchronization with the incoming pilot signal but has taken an excessive amount of time. The cold acquisition algorithm is divided into two sub-phases. The first subphase consists of a search over the length 233415 code used by the FBCCH. Once this sub-code phase is acquired, the pilot's 233415 x 128 length code is known to within an ambiguity of 128 possible phases. The second subphase is a search of these remaining 128 possible phases. In order not to lose synch with the FBCCH, the second phase of the search it is desirable to switch back and forth between tracking the FBCCH code and attempting acquisition of the pilot code.

The RCS acquisition of short access pilot (SAXPT) algorithm is used by an RCS CDMA modem to acquire the SAXPT pilot signal of an SU. The algorithm is a fast search algorithm because the SAXPT is a short code sequence of length N, where N = chips/symbol, and ranges from 45 to 195, depending on the system's bandwidth. The search cycles through all possible phases until acquisition is complete.

The RCS acquisition of the long access pilot (LAXPT) algorithm begins immediately after acquisition of SAXPT. The SU's code phase is known within a multiple of a symbol duration, so in the exemplary embodiment of the invention, there may be 7 to 66 phases to search within the round trip delay from the RCS. This bound is a result of the SU pilot signal being synchronized to the RCS Global pilot signal.

The re-acquisition algorithm begins when loss of code lock (LOL) occurs. A Z-search algorithm is used to speed the process on the assumption that the code phase has not drifted far from where it was the last time the system was locked. The RCS uses a maximum width of the Z-search windows bounded by the maximum round trip propagation delay.

The Pre-Track algorithm immediately follows the acquisition or reacquisition algorithms and immediately precedes the tracking algorithm. Pre-track is a fixed duration period during which the receive data provided by the modem is not considered valid. The Pre-Track period allows other modem algorithms, such as those used by the ISW PLL 1724, ACQ & Tracking, AMF Weight GEN 1722, to prepare and

10

15

20

25

30

adapt to the current channel. The Pre-track algorithm is two parts. The first part is the delay while the code tracking loop pulls in. The second part is the delay while the AMF tap weight calculations are performed by the AMF Weight Gen 1722 to produce settled weighting coefficients. Also in the second part of the Pre-Track period, the carrier tracking loop is allowed to pull in by the SW PLL 1724, and the scalar quantile estimates are performed in the Quantile estimator 1723A.

The Tracking process is entered after the Pre-Track period ends. This process is actually a repetitive cycle and is the only process phase during which receive data provided by the modern may be considered valid. The following operations are performed during this phase: AMF Tap Weight Update, Carrier Tracking, Code Tracking, Vector Quantile Update, Scalar Quantile Update, Code Lock Check, Derotation and Symbol Summing, and Power Control (forward and reverse).

If loss of lock (LOL) is detected, the modem receiver terminates the Track algorithm and automatically enters the reaquisition algorithm. In the SU, a LOL causes the transmitter to be shut down. In the RCS, LOL causes forward power control to be disabled with the transmit power held constant at the level immediately prior to loss of lock. It also causes the return power control information being transmitted to assume a 010101...pattern, causing the SU to hold its transmit power constant. This can be performed using the signal lock check function which generates the reset signal to the acquisition and tracking circuit 1701.

Two sets of quantile statistics are maintained, one by Quantile estimator 1723B and the other by the scalar Quantile Estimator 1723A. Both are used by the modem controller 1303. The first set is the "vector" quantile information, so named because it is calculated from the vector of four complex values generated by the AUX AVC receiver 1712. The second set is the scalar quantile information, which is calculated from the single complex value AUX signal that is output from the AUX Despreader 1707. The two sets of information represent different sets of noise statistics used to maintain a pre-determined Probability of False Alarm (Pa). The vector quantile data is used by the acquisition and reaquisition algorithms implemented by the modem controller 1303 to determine the presence of a received signal in noise, and the scalar quantile information is used by the code lock check algorithm.

- 51 -

For both the vector and scalar cases, quantile information consists of calculated values of lambda0 through lambda2, which are boundary values used to estimate the probability distribution function (p.d.f.) of the despread received signal and determine whether the modem is locked to the PN code. The Aux_Power value used in the following C-subroutine is the magnitude squared of the AUX signal output of the scalar correlator array for the scalar quantiles, and the sum of the magnitudes squared for the vector case. In both cases the quantiles are then calculated using the following C-subroutine:

```
for (n = 0; n < 3; n++) {

lambda [n] += (lambda [n] < Aux_Power) ? CG[n] : GM[n];
}
```

5

10

15

20

25

30

where CG[n] are positive constants and GM[n] are negative constants (different values are used for scalar and vector quantiles).

During the acquisition phase, the search of the incoming pilot signal with the locally generated pilot code sequence employs a series of sequential tests to determine if the locally generated pilot code has the correct code phase relative to the received signal. The search algorithms use the Sequential Probability Ratio Test (SPRT) to determine whether the received and locally generated code sequences are in phase. The speed of acquisition is increased by the parallelism resulting from having a multi-fingered receiver. For example, in the described embodiment of the invention the main Pilot Rake 1711 has a total of 11 fingers representing a total phase period of 11 chip periods. For acquisition 8 separate sequential probability ratio tests (SPRTs) are implemented, with each SPRT observing a 4 chip window. Each window is offset from the previous window by one chip period, and in a search sequence any given code phase is covered by 4 windows. If all 8 of the SPRT tests are rejected, then the set of windows is moved by 8 chips. If any of the SPRT's is accepted, then the code phase of the locally generated pilot code sequence is adjusted to attempt to center the accepted SPRT's phase within the Pilot AVC. It is likely that more than one SPRT reaches the acceptance threshold at the same time. A table lookup is used cover all 256 possible combinations of accept/reject and the modem controller uses the information to estimate the correct center code phase within

- 52 -

the Pilot Rake 1711. Each SPRT is implemented as follows (all operations occur at 64k symbol rate): Denote the fingers' output level values as I_Finger[n] and Q_Finger[n], where n=0..10 (inclusive, 0 is earliest (most advanced) finger), then the power of each window is:

Power Window[i] = $\sum_{n} (I_{\text{pinger}}^{2}[n] + Q_{\text{pinger}}^{2}[n]$

To implement the SPRT's the modem controller then performs for each of the windows the following calculations which are expressed as a pseudo-code subroutine:

```
/* find bin for Power */

tmp = SIGMA[0];

for (k = 0; k < 3; k++) {

    if (Power > lambda [k]) tmp = SIGMA[k+1];

}

test_statistic += tmp; /* update statistic */

if(test_statistic > ACCEPTANCE_THRESHOLD)you've got ACQ;

else if (test_statistic < DISMISSAL_THRESHOLD) {

    forget this code phase;

} else keep trying - get more statistics;
```

20

where lambda[k] are as defined in the above section on quantile estimation, and SIGMA[k], ACCEPTANCE_THRESHOLD and DISMISSAL_THRESHOLD are predetermined constants. Note that SIGMA[k] is negative for values for low values of k, and positive for right values of k, such that the acceptance and dismissal thresholds can be constants rather than a function of how many symbols worth of data have been accumulated in the statistic.

The modem controller determines which bin, delimited by the values of lambda[k], the Power level falls into which allows the modem controller to develop an approximate statistic.

For the present algorithm, the control voltage is formed as $\varepsilon = y^T B y$, where y is a vector formed from the complex valued output values of the Pilot Vector correlator 1711, and B is a matrix consisting of the constant values pre-determined to maximize the operating characteristics while minimizing the noise as described above with reference to the Quadratic Detector.

To understand the operation of the Quadratic Detector, it is useful to consider the following. A spread spectrum (CDMA) signal, s(t) is passed through a multipath channel with an impulse response he(t). The baseband spread signal is described by equation (27).

$$s(t) = \sum_{i} C_{i} p(t - iT_{c})$$
 (27)

where C_i is a complex spreading code symbol, p(t) is a predefined chip pulse and T_c is the chip time spacing, where $T_c = 1/R_c$ and R_c is the chip rate.

The received baseband signal is represented by equation (28)

$$r(t) = \sum_{i} C_{i} q(t - iT_{c} - \tau) + n(t)$$
 (28)

where $q(t) = p(t)*h_c(t)$, τ is an unknown delay and n(t) is additive noise. The received signal is processed by a filter, $h_R(t)$, so the waveform, x(t), to be processed is given by equation (29).

$$x(t) = \sum_{i} C_i f(t - iT_c - \tau) + z(t)$$
(29)

where $f(t) = q(t)*h_R(t)$ and $z(t) = n(t)*h_R(t)$.

5

15

20

In the exemplary receiver, samples of the received signal are taken at the chip rate, that is to say, $1/T_c$. These samples, $x(mT_c+\tau^*)$, are processed by an array of correlators that compute, during the r^{th} correlation period, the quantities given by equation (30)

$$v_k^{(r)} = \sum_{m=-l}^{rL+L-1} x(mT_c + \tau^i) C_{m+k}^*$$
 (30)

These quantities are composed of a noise component water and a deterministic component yx(r) given by equation (31).

5

10

15

20

25

$$y_{k}^{(r)} = E[v_{k}^{(r)}] = Lf(kT_{c} + \tau' - \tau)$$
(31)

In the sequel, the time index r may be suppressed for ease of writing, although it is to be noted that the function f(t) changes slowly with time.

The samples are processed to adjust the sampling phase, τ' , in an optimum fashion for further processing by the receiver, such as matched filtering. This adjustment is described below. To simplify the representation of the process, it is helpful to describe it in terms of the function $f(t+\tau)$, where the time-shift, τ , is to be adjusted. It is noted that the function $f(t+\tau)$ is measured in the presence of noise. Thus, it may be problematical to adjust the phase τ ' based on measurements of the signal $f(t+\tau)$. To account for the noise, the function v(t): v(t) = f(t) + m(t) is introduced, where the term m(t) represents a noise process. The system processor may be derived based on considerations of the function v(t).

The process is non-coherent and therefore is based on the envelope power function $|v(t+\tau)|^2$. The functional $e(\tau)$ given in equation (32) is helpful for describing the process.

$$e(\tau') = \int_{-\infty}^{\infty} |v(t+\tau'-\tau)|^2 dt - \int_{0}^{\infty} |v(t+\tau'-\tau)|^2 dt$$
 (32)

The shift parameter is adjusted for $e(\tau')=0$, which occurs when the energy on the interval $(-\infty, \tau'-\tau]$ equals that on the interval $[\tau'-\tau, \infty)$. The error characteristic is monotonic and therefore has a single zero crossing point. This is the desirable quality of the functional. A disadvantage of the functional is that it is ill-defined because the integrals are unbounded when noise is present. Nevertheless, the functional e(t') may be cast in the form given by equation (33).

$$e(\tau') = \int_{-\infty}^{\infty} w(t) |v(t+\tau'-\tau)|^2 dt \tag{33}$$

where the characteristic function w(t) is equal to sgn(t), the signum function.

10

20

25

To optimize the characteristic function w(t), it is helpful to define a figure of merit, F, as set forth in equation (34).

$$F = \frac{\left[\overline{e(\tau_0' + T_A) - e(\tau_0' - T_A)}\right]^2}{VAR\{e(\tau_0')\}}$$
(34)

The numerator of F is the numerical slope of the mean error characteristic on the interval [-TA,TA] surrounding the tracked value, τ_0 . The statistical mean is taken with respect to the noise as well as the random channel, $h_c(t)$. It is desirable to specify a statistical characteristic of the channel in order to perform this statistical average. For example, the channel may be modeled as a Wide Sense Stationary Uncorrelated Scattering (WSSUS) channel with impulse response $h_c(t)$ and a white noise process U(t) that has an intensity function g(t) as shown in equation (35).

$$h_c(t) = \sqrt{g(t)}U(t) \tag{35}$$

The variance of $e(\tau)$ is computed as the mean square value of the fluctuation

$$e'(\tau) = e(\tau) - \langle e(\tau) \rangle \tag{36}$$

where $\langle e(\tau) \rangle$ is the average of $e(\tau)$ with respect to the noise.

Optimization of the figure of merit F with respect to the function w(t) may be carried out using well-known Variational methods of optimization.

Once the optimal w(t) is determined, the resulting processor may be approximated accurately by a quadratic sample processor which is derived as follows.

By the sampling theorem, the signal v(t), bandlimited to a bandwidth W may be expressed in terms of its samples as shown in equation (37).

$$v(t) = \sum v(k/W)\operatorname{sinc}[(Wt - k)\pi]$$
(37)

substituting this expansion into equation (z+6) results in an infinite quadratic form in the samples $v(k/W+\tau'-\tau)$. Making the assumption that the signal bandwidth equals the chip rate allows the use of a sampling scheme that is clocked by the chip clock signal to be used to obtain the samples. These samples, v_k are represented by equation (38).

$$v_{k} = v(kT_{c} + \tau' - \tau) \tag{38}$$

- 56 -

This assumption leads to a simplification of the implementation. It is valid if the aliasing error is small.

In practice, the quadratic form that is derived is truncated. An example normalized B matrix is given below in Table 12. For this example, an exponential delay spread profile $g(t) = \exp(-t/\tau)$ is assumed with τ equal to one chip. An aperture parameter TA equal to one and one-half chips has also been assumed. The underlying chip pulse has a raised cosine spectrum with a 20% excess bandwidth.

-0.1 Ō 0.19 -0.10.22 -0.19 0.19 0.45 -0.2 Ō -0.19 0.45 0.99 0.23 -0.2 0.23 -0.18 0.17 -0.18 -0.87 -0.42 0.18 $\overline{\mathbf{0}}$ 0.17 -0.16 -0.42 -0.92 0.18 -0.16 -0.31 -0.13 Ó Ō $\overline{0}$

Table 12 - Example B matrix

Code tracking is implemented via a loop phase detector that is implemented as follows. The vector y is defined as a column vector which represents the 11 complex output level values of the Pilot AVC 1711, and B denotes an 11 x 11 symmetric real valued coefficient matrix with pre-determined values to optimize performance with the non-coherent Pilot AVC output values y. As described above, the phase detector output is given by equation (39):

$$\varepsilon = y^{T} B y \tag{39}$$

The following calculations are then performed to implement a proportional plus integral loop filter and the VCO:

$$x[n] = x[n-1] + \beta \epsilon$$

 $z[n] = z[n-1] + x[n] + \alpha \epsilon$

5

20

25

for β and α which are constants chosen from modeling the system to optimize system performance for the particular transmission channel and application, and where x[n] is the loop filter's integrator output value and z[n] is the VCO output value. The code phase adjustments are made by the modem controller the following pseudo-code subroutine:

A different delay phase could be used in the above pseudo-code subroutine consistant with the present invention.

The AMF Tap-Weight Update Algorithm of the AMF Weight Gen 1722 (shown in Figure 15) occurs periodically to de-rotate and scale the phase each finger value of the Pilot Rake 1711 by performing a complex multiplication of the Pilot AVC finger value with the complex conjugate of the current output value of the carrier tracking loop and applying the product to a low pass filter to produce AMF tap-weight values, which are periodically written into the AMF filters of the CDMA modem.

The Code lock check algorithm, shown in Figure 15) is implemented by the modem controller 1303 performing SPRT operations on the output signal of the scalar

correlator array. The SPRT technique is the same as that for the acquisition algorithms, except that the constants are changed to increase the probability of detection of lock.

Carrier tracking is accomplished via a second order loop that operates on the pilot output values of the scalar correlated array. The phase detector output is the hard limited version of the quadrature component of the product of the (complex valued) pilot output signal of the scalar correlated array and the VCO output signal. The loop filter is a proportional plus integral design. The VCO is a pure summation, accumulated phase error f, which is converted to the complex phasor $\cos f + j \sin f$ using a look-up table in memory.

10

15

20

25

5

The previous description of acquisition and tracking algorithm focuses on a non-coherent method because the acquisition and tracking algorithm described uses non-coherent acquisition following by non-coherent tracking. This is done because, during acquisition, a coherent reference is not available until the AMF, Pilot AVC, Aux AVC, and DPLL are in an equilibrium state. It is, however, known in the art that coherent tracking and combining is preferred because in non-coherent tracking and combining the output phase information of each Pilot AVC finger is lost. Consequently, another embodiment of the invention employs a two step acquisition and tracking system, in which the previously described non-coherent acquisition and tracking algorithm is implemented first, and then the system switches to a coherent tracking method. The coherent combining and tracking method is similar to that described previously, except that the error signal tracked is of the form:

$$\varepsilon = \mathbf{y}^{\mathsf{T}} \mathbf{A} \mathbf{y} \tag{40}$$

where y is defined as a column vector which represents the 11 complex output level values of the Pilot AVC 1711, and A denotes an 11 x 11 symmetric real valued coefficient matrix with pre-determined values to optimize performance with the coherent Pilot AVC outputs y. An exemplary A matrix is shown below.

- 59 -

15

Although the invention has been described in terms of multiple exemplary embodiments, it is understood by those skilled in the art that the invention may be practiced with modifications to the embodiments which are within the scope of the invention defined by the following claims.

						00 -					
0	67	134	201	268	335	402	469	536	603	670	737
1	68	135	202	269	336	403	470	537	604	671	738
2	69	136	203	270	337	404	471	538	605	672	739
3	70	137	204	271	338	405	472	539	606	673	740
4	71	138	205	272	339	406	473	540	607	674	741
5 6	72	139	206	273	340	407	474	541	608	675	742
6	73	140	207	274	341	408	475	542	609	676	743
7	74 75	141	208	275	342	409	476	543	610	677	744
8 9	75 76	142 143	209	276 277	343	410	477	544	611	678	745
9 10	76 77	143	210 211	277 278	344 345	411 412	478 479	545 546	612 613	679	746
11	78	145	212	279	345	413	480	547	614	680 681	747 748
12	79	146	213	280	347	414	481	548	615	682	749
13	80	147	214	281	348	415	482	549	616	683	750
14	81	148	215	282	349	416	483	550	617	684	751
15	82	149	216	283	350	417	484	551	618	685	752
16	83	150	217	284	351	418	485	552	619	686	753
17	84	151	218	285	352	419	486	553	620	687	754
18	85	152	219	286	353	420	487 '	554	621	688	755
19	86	153	220	287	354	421	488	555	622	689	756
20	87	154	221	288	355	422	489	556	623	690	757
21	88	155	222	289	356	423	490	557	624	691	758
22 23	89 9 0	156 157	223 224	290 291	357 358	424 425	491	558	625	692	759
23 24	90 91	157	225	292	359	425 426	492 493	559 560	626 627	693 694	760
25	92	159	226	293	360	427	493 494	561	627 628	695	761 762
26	93	160	227	294	361	428	495	562	629	696	763
27	94	161	228	295	362	429	496	563	630	697	764
28	95	162	229	296	363	430	497	564	631	698	765
29	96	163	230	297	364	431	498	565	632	699	766
30	97	164	231	298	365	432	499	566	633	700	767
31	98	165	232	299	366	433	500	567	634	701	768
32 33	99 100	166 167	233 234	300 301	367 368	434	501	568	635	702	769
34	101	168	235	302	369	435 436	502 503	569 570	636 637	703 704	770 771
35	102	169	236	303	370	437	504	571	638	70 4 705	772
36	103	170	237	304	371	438	505	572	639	706	773
37	104	171	238	305	372	439	506	573	640	707	774
38	105	172	239	306	373	440	507	574	641	708	775
39	106	173	240	307	374	441	508	575	642	709	776
40	107	174	241	308	375	442	509	576	643	710	777
41 42	108 109	175 176	242 243	309 310	376 377	443 444	510	577	644	711	778
43	110	177	243 244	311	378	445	511 512	578 579	645 646	712 713	779 780
44	111	178	245	312	379	446	513	580	647	713 714	781
45	112	179	246	313	380	447	514	581	648	715	782
46	113	180	247	314	381	448	515	582	649	716	783
47	114	181	248	315	382	449	516	583	650	717	784
48	115	182	249	316	383	450	517	584	651	718	785
49	116	183	250	317	384	451	518	585	652	719	786
50	117	184	251	318	385	452	519	586	653	720	787
51	118	185	252	319	386	453	520	587	654	721	788
52	119	186	253	320	387	454	521	588	655	722	789
53	120 121	187	254	321	388	455	522	589	656	723	790
54 55	121	188 189	255 256	322 323	389	456	523	590	657	724	791
56	122	190	257	323 324	390 391	457 458	524 525	591	658	725	792
57	124	191	258	325	392	459	526	592 593	659 660	726 727	793
58	125	192	259	326	393	460	527	593 594	661	727 728	794 795
59	126	193	260	327	394	461	528	595	662	729	796
60	127	194	261	328	395	462	529	596	663	730	797
61	128	195	262	329	396	463	530	597	664	731	798
62	129	196	263	330	397	464	531	598	665	732	799
63	130	197	264	331	398	465	532	599 ·	666	733	800
64	131	198	265	332	399	466	533	600	667	734	801
65	132	199	266	333	400	467	534	601	668	735	802
66	133	200	267	334	401	468	535	602	669	736	803

- 61 -

PCT/US96/11059

804	871	938	1005	1072	2241	2308	2375	2442	2509	2576	2643
805	872	939	1006	1073	2242	2309	2376	2443	2510	2577	2644
806	873	940	1007	1074	2243	2310	2397	2444	2511	2578	2645
807	874	941	1008	1075	2244	2311	2378	2445	2512	2579	264 6
808	875	942	1009	1076	2245	2312	2379	2446	2513	2580	2647
809	876	943	1010	1077	2246	2313	2380	2447	2514	2581	2648
810	877	944	1011	1078	2247	2314	2381	2448	2515	2582	2649
811	878	945	1012	1079	2248	2315	2382	2449	2516	2583	2650
812	879	946	1013	1080	2249	2316	2383	2450	2517	2584	2651
813	880	947	1014	1081 1082	2250 2251	2317	2384 2385	2451	2518	2585	2652
814 815	881 882	948 949	1015 1016	1082	2251 2252	2318 2319	2386	2452 2453	2519 2520	2586 2587	2653
816	883	9 4 9 950	1017	1083	2253	2320	2387	2455 2454	2521	2587 2588	2654 2655
817	884	951	1018	1085	2254	2321	2388	2455	2522	2589	2656
818	885	952	1019	1086	2255	2322	2389	2456	2523	2590	2657
819	886	953	1020	1087	2256	2323	2390	2457	2524	2591	2658
820	887	954	1021	1088	2257	2324	2391	2458	2525	2592	2659
821	888	955	1022	1089	2258	2325	2392	2459	2526	2593	2660
822	889	956	1023	1090	2259	2326	2393	2460	2527	2594	2661
823	890	957	1024	1091	2260	2327	2394	2461	2528	2595	26 62
824	891	958	1025	1092	2261	2328	2395	2462	2529	2596	2663
825	892	959	1026	1093	2262	2329	2396	2463	2530	2597	2664
826	893	960	1027	1094	2263	2330	2397	2464	2531	2598	2665
827	894 806	961	1028	1095	2264	2331	2398	2465	2532	2599	2666
828 829	895 896	962 963	1029 1030	1096 1097	2265 2266	2332 2333	2399 2400	2466 2467	2533 2534	2600 2601	2667 2668
830	897	964	1030	1097	2267	2334	2400	2467 2468	2534 2535	2602	2669
831	898	965	1032	1099	2268	2335	2402	2469	2536	2603	2670
832	899	966	1033	1100	2269	2336	2403	2470	2537	2604	2671
833	900	967	1034	1101	2270	2337	2404	2471	2538	2605	2672
834	901	968	1035	2204	2271	2338	2405	2472	2539	2606	2673
835	902	969	1036	2205	2272	2339	2406	2473	2540	2607	2674
836	903	970	1037	2206	2273	2340	2407	2474	2541	2608	2675
837	904	971	1038	2207	2274	2341	2408	2475	2542	2609	2676
838	905	972	1039	2208	2275	2342	2409	2476	2543	2610	2677
839	906	973	1040	2209	2276	2343	2410	2477	2544	2611	2678
840	907	974	1041	2210	2277	2344	2411	2478	2545	2612	2679
841 842	908 909	975 976	1042 1043	2211 2212	2278 2279	2345 2346	2412 2413	2479 2480	2546 2547	2613 2614	2680 2681
843	910	977 977	1043	2212	2280	2347	2413 2414	2480 2481	2547 2548	2615	2682
844	911	978	1045	2214	2281	2348	2415	2482	2549	2616	2683
845	912	979	1046	2215	2282	2349	2416	2483	2550	2617	2684
846	913	980	1047	2216	2283	2350	2417	2484	2551	2618	2685
847	914	981	1048	2217	2284	2351	2418	2485	2552	2619	2686
848	915	982	1049	2218	2285	2352	2419	2486	2553	2620	2687
849	916	983	1050	2219	2286	2353	2420	2487	2554	2621	2688
850	917	984	1051	2220	2287	2354	2421	2488	2555	2622	2689
851	918	985	1052	2221	2288	2355	2422	2489	2556	2623	2690
852	919	986	1053	2222	2289	2356	2423	2490	2557	2624	2691
853	920	987	1054	2223 2224	2290 2291	2357	2424	2491	2558	2625	2692
854 855	921 922	988 989	1055 1056	2225	2292	2358 2359	2425 2426	2492 2493	2559 2560	2626 2627	2693 2694
856	923	990	1057	2226	2293	2360	2420 2427	2493 2494	2561	2628	2695
857	924	991	1058	2227	2294	2361	2428	2495	2562	2629	2696
858	925	992	1059	2228	2295	2362	2429	2496	2563	2630	2697
859	926	993	1060	2229	2296	2363	2430	2497	2564	2631	2698
860	927	994	1061	2230	2297	2364	2431	2498	2565	2632	2699
861	928	995	1062	2231	2298	2365	2432	2499	2566	2633	2700
862	929	996	1063	2232	2299	2366	2433	2500	2567	2634	2701
863	930	997	1064	2233	2300	2367	2434	2501	2568	2635	2702
864	931	998	1065	2234	2301	2368	2435	2502	2569	2636	2703
865	932	999	1066	2235	2302	2369	2436	2503	2570	2637	2704
866	933	1000	1067	2236	2303	2370	2437	2504	2571	2638	2705
867	934	1001	1068	2237	2304	2371	2438	2505	2572	2639	2706
868	935	1002	1069	2238	2305	2372	2439	2506	2573	2640	2707
869	936	1003	1070	2239	2306	2373	2440	2507	2574	2641	2708
870	937	1004	1071	2240	2307	2374	2441	2508	2575	2642	2709

_	64	_

The Invention Claimed Is:

1	1. A Code Division Multiple Access (CDMA) modem for transmitting and
2	receiving telecommunication signals including information signals and connection control
3	signals, the modem comprising
4	a modem transmitter having:
5	a) code generation means comprising a generic pilot code means for
6	providing an associated pilot code signal and a message means for
7	generating a plurality of message code signals;
8	b) spreading means coupled to the message means for combining
9	each of the information signals, with a respective one of the plurality of
10	message code signals to generate a plurality of spread-spectrum processed
11	message signals comprising a transmit Code Division Multiplex (CDM)
12	signal, and the associated pilot signal wherein each of the plurality of
13	message code signals of the plurality of modem processing means is
14	synchronous with the global pilot code signal; and
15	a modem receiver means having
16	a) local code generation means comprising a local associated pilot
17	code means for providing a local associated pilot code signal and a local
18	message code means for generating a plurality of local message code
19 .	signals, the local associated pilot code means being synchronous with the
20	local message code means;
21	b) an associated pilot code acquisition and tracking means
22	comprising a plurality of associated pilot code-phase delayed correlation
23	means for correlating respective phase-delayed versions of the local
24	associated pilot code signal with a received CDM signal to produce a
25	despread associated pilot signal, the code phase of the associated pilot code
26	signal being changed responsive to an acquisition signal; and means for
27	detecting the presence of the despread associated pilot signal to produce an

acquisition signal, the acquisition signal indicating a degree of

29	synchronization between the local associated phot code signal and the
30	global pilot code signal;
31	c) an associated pilot code tracking means including means for
32	adjusting the local associated pilot code signal in phase responsive to the
33	acquisition signal in a sense which tends to increase the level of the
34	despread associated pilot signal; and
35	d) a plurality of message signal acquisition means for providing a
36	plurality of despread receive message signals, each message signal
37	acquisition means including a receive message signal correlator for
38	correlating one of the local receive message code signals with the CDM
39	signal to produce a respective despread receive message signal.
1	2. The CDMA modem means of claim 1, wherein the modem transmitter means
2	further comprises:
3	spreading means coupled to the message means for combining each of the
4	information signals and the call control signals, including user identification signals, with
5	a respective one of the plurality of message code signals to generate a plurality of spread-
6	spectrum processed message signals.
1	3. The CDMA modem means of claim 1, wherein the modem receiver means
2	further comprises:
3	a) the pilot code acquisition and tracking means comprising
4	A) associated pilot code generation means for providing the
5	local associated pilot signal;
6	B) a pilot vector correlator including a plurality of associated
7	pilot code-phase delayed correlation means for correlating the local
8	associated pilot signal with the receive CDM signal to produce a plurality
9	of despread multipath pilot signals, and for providing a multipath weight
10	signal corresponding to a respective multipath carrier of a respective one of
11	the despread multipath pilot signals;

WO 97/02675

12	c) a phot adaptive matched inter including a pluranty of
13	signal weighting means for scaling, in magnitude, and rotating the
14	respective despread multipath pilot signals and each responsive to the
15	respective multipath weighting signal, the plurality of signal weighting
16	means providing a plurality of weighed despread multipath pilot signals,
17	and a summing means for summing the plurality of weighted despread
18	multipath pilot signals to form a despread associated pilot signal;
19	D) means coupled to the pilot vector correlator for detecting
20	the despread associated pilot signal and for producing an acquisition signal,
21	the acquisition signal having a magnitude which is proportional to the
22	despread associated pilot code signal in signal energy, the magnitude of the
23	acquisition signal indicating a degree of synchronization between the
24	associated pilot code signal and the local global pilot signal; and
25	E) associated pilot code tracking means including means for
26	adjusting the associated pilot code signal in code phase, responsive to the
27	acquisition signal in a sense which tends increase in signal energy the
28	despread associated pilot signal;
29	b) a plurality of message signal acquisition means for providing a plurality of
80	despread receive message signals, each including
81	A) a plurality of receive message signal correlators for
32	correlating one of the receive message code signals with the CDM signal to
33	produce a plurality of despread multipath receive message signals
34	respectively;
35	B) a message adaptive matched filter including a plurality of
36	signal weighting means for aligning the respective despread multipath
37	receive message signals in carrier phase responsive to a respectively
88	different one of the multipath weighting signals, the plurality of signal
19	weighting means providing a plurality of weighted despread multipath
10	receive message signals, and a summing means for summing the plurality

41	of weighted despread multipath receive message signals to form a despread
42	receive message signal;
43 44	c) the plurality of despread receive message signals include at least one despread information signal and a plurality of call control signals.
1	4. The CDMA modem means of claim 3 wherein the plurality of call control
2	signals include the user identification signal which identifies the user for the respective
3	despread information signal and a message type signal which indicates a type and an
4	information rate for the despread information signal.
1	5. A method for tracking a centroid of a plurality of multipath spread-spectrum
2	signals, said plurality of multipath spread-spectrum signals constituting a spread-spectrum
3	channel signal including a transmitted code sequence, the method comprising the steps of
4	digitally sampling the spread-spectrum channel signal responsive to a clock signal
5	to produce a sequence of sample values including a set of even numbered sample values
6	and a set odd-numbered sample values; wherein said the set of even-numbered sample
7	values define a sequence of early spread-spectrum channel signal samples and said set of
8	odd numbered sample values define a sequence of late spread-spectrum channel signal
9	samples;
10	generating a plurality of local code sequences, each of said plurality of local code
11	sequences having a code phase and code symbol period, and each being a code phase-
12	shifted version of the transmitted code sequence;
13	combining each of said plurality of local code sequences with the sequence of
14	early received spread-spectrum channel signal samples to produce a plurality of early
15	despread multipath signals, and combining each of said plurality of local code sequences
16	with the sequence of late received spread-spectrum channel signal samples to produce a
17	plurality of late despread multipath signals;
18	processing the plurality of early despread multipath signals to produce an early
19	tracking value, and processing the plurality of late despread multipath signals to produce
20	late tracking value

WO 97/02675

3

21	determining a difference between the early tracking value and the late tracking
22	value to produce an error signal value; and
23	adjusting the code phase of each of said plurality of local code sequences
24	responsive to the error signal value.
1	6. The method of claim 5, wherein the steps of processing the plurality of early
2	despread multipath signals and processing the plurality of late despread multipath signals
3	comprise the steps of:
4	accumulating said plurality of early despread multipath signals to produce a
5	respective plurality of early signal samples, and accumulating said plurality of late
6	despread multipath signals to produce a respective plurality of late signal samples; and
7	summing ones of the early signal samples to produce said early tracking value, and
8	summing ones of the late signal samples to produce said late tracking value.
1	7. The method of claim 6, wherein, prior to the step of summing, the method
2	includes the step of weighting each of said early signal samples and each of said late
3	signal samples with a respective predetermined weighting value.
1	8. The method of claim 5, wherein the steps of adjusting the code phase of each
2	of said plurality of local code sequences includes the step of increasing each code phase
3	by a first predetermined number of code symbol periods responsive to the error signal
4	value being negative, and decreasing each code phase by a second predetermined number
5	of code symbol periods responsive to the error signal value being positive.
1	9. The method of claim 5, wherein the step of adjusting the code phase of each of
2	said plurality of local code sequences includes the steps of increasing each code phase by
3	a first predetermined number of code symbol periods responsive to the error signal value
4	being positive, and decreasing each code phase by a second predetermined number of
5	code symbol periods responsive to the error signal value being negative.
1	10. Apparatus for tracking a centroid of a plurality of multipath spread-spectrum
2	signals, said plurality of multipath spread-spectrum signals constituting a spread-spectrum
3	channel signal including a transmitted code sequence, the apparatus comprising:

an analog-to-digital converter, responsive to a clock signal and the spread-

5	spectrum channel signal, to produce a sequence of sample values including a set of even
6	numbered sample values and a set odd-numbered sample values; wherein said the set of
7	even-numbered sample values define a sequence of early spread-spectrum channel signal
8	samples and said set of odd sample number values define a sequence of late spread-
9	spectrum channel signal samples;
10	code sequence generating means for generating a plurality of local code sequences,
11	each of said plurality of local code sequences having a code phase and code symbol
12	period, and each being a code phase-shifted version of the transmitted code sequence;
13	means for combining each of said plurality of local code sequences with the
14	sequence of early received spread-spectrum channel signal samples to produce a plurality
15	of early despread multipath signals, and for combining each of said plurality of local code
16	sequences with the sequence of late received spread-spectrum channel signal samples to
17	produce a plurality of late despread multipath signals;
18	means for processing the plurality of early despread multipath signals to produce
19	an early tracking value, and for processing the plurality of late despread multipath signals
20	to produce a late tracking value
21	a subtracter which determines the difference between the early tracking value and
22	the late tracking value to produce an error signal value; and
23	means, coupled to the code phase generating means, for adjusting the code phase
24	of each of said plurality of local code sequences responsive to the error signal value.
1	11. The apparatus of claim 10, wherein the means for processing the plurality of
2	early despread multipath signals and for processing the plurality of late despread multipath
3	signals comprise:
4	a first plurality of accumulators which accumulate said plurality of early despread
5	multipath signals to produce a respective plurality of early signal samples, and a second
6	plurality of accumulators which accumulate said plurality of late despread multipath
7	signals to produce a respective plurality of late signal samples: and

WO 97/02675

21

8	a first summing network for summing ones of the early signal samples to produce
9	said early tracking value, and a second summing network for summing ones of the late
10	signal samples to produce said late tracking value.
1	12. The apparatus of claim 11, further including a plurality of signal scalers
2	which multiply each of said early signal samples and each of said late signal samples by a
3	respective predetermined weighting value and which apply the weighted odd and even
4	signal samples to the respective first and second summing networks.
1	13. An adaptive matched filter (AMF) apparatus for collecting signal power of a
2	spread data channel in a spread-spectrum communication system from a spread signal
3	having a plurality of multipath signal components, each of said multipath signal
4	components having a carrier phase, wherein said spread signal includes a spread pilot
5	channel employing a first predetermined spreading code sequence and a spread data
6	channel employing a second predetermined spreading code sequence, said spread pilot
7	channel is unmodulated and said spread data channel is data-modulated; the AMF
8	apparatus comprising:
9	pilot vector correlator means, coupled to receive the spread signal, for providing a
10	plurality of multipath signal weighting values determined from the spread pilot channel,
11	each multipath signal weighting value corresponding to a respective multipath signal
12	carrier of the respective received multipath signal component;
13	local code sequence generator means for generating a plurality of local code
14	sequences, each of the local code sequences being a code phase-shifted version of the
15	predetermined spreading code sequence;
16	data AMF means, coupled to receive the spread signal, for providing a data value
17	determined from the spread data channel, the data AMF means comprising:
18	a) a plurality of spreading code correlators, each spreading code
19	correlator correlating a respective one of the local code sequences with the
20	received spread signal to produce a respective despread multipath data

signal component having a carrier phase value;

-72-

22	b) weighting means for scaling the data value and for aligning the
23	carrier phase value of the despread multipath data responsive to the
24	respective multipath weighting value; and
25	c) first combining means for combining each one of the scaled and
26	aligned data signal components to produce the data value.
1	14. The data AMF apparatus of claim 13, wherein:
2	a) each of the plurality of spreading code correlators further includes
3	multiplication means for multiplying the spread signal with a respective one of the local
4	code sequences to produce a correlated signal value and accumulation means for
5	accumulating the correlated signal value for a predetermined period to produce a despread
6	multipath data signal component having a carrier phase which corresponds to the carrier
7	signal phase of the respective received multipath signal component; and
8	b) the aligning means comprises a plurality of multipliers, each multiplier
9	multiplying a respective one of the despread multipath data signal components with a
10	respective one of the multipath signal weighting values, and each multiplier producing one
11	weighted data signal component of a plurality of weighted data signal components.
1	15. The adaptive matched filter (AMF) apparatus of claim 13, wherein the pilot
2	vector correlator means further comprises:
3	local pilot code sequence generator means for generating a plurality of local code
4	sequences, each of the code sequences being a code phase-shifted version of the pilot
5	spreading code sequence;
6	a plurality of pilot spreading code correlators, each pilot spreading code correlator
7	correlating a respective one of the local code sequences with the spread signal, each
8	spreading code correlator comprising multiplication means for multiplying the spread
9	signal with the respective one of the local code sequences to produce a correlated pilot
10	signal value and accumulator means for accumulating the correlated pilot signal value for
11	a predetermined period to produce a despread multipath pilot signal component with a
12	carrier signal phase; wherein each one of the plurality of despread multipath pilot signal
13	components is applied to a respective one of a plurality of low pass filters to produce a
14	multipath signal weighting value corresponding to the carrier signal phase of the

respective received multipath signal component; each one of the plurality of despread multipath pilot signal components and the respective multipath signal weighting value is applied to a respective one of a plurality of multipliers; and each multipath pilot signal component is multiplied by the respective multipath signal weighting value to produce one scaled and phase rotated pilot signal component of a plurality of scaled and phase rotated pilot signal components having substantially equal carrier phases; and

- d) second combining means for combining the plurality of weighted pilot signal components to produce a pilot data value.
- 16. A pilot vector correlator apparatus a) for receiving a spread signal, b) for collecting signal power from a spread pilot channel which is a component signal of the spread signal wherein the spread signal has a plurality of received multipath signal components to produce a pilot data value, said spread pilot channel being spread by a predetermined pilot spreading code sequence, and c) for providing a plurality of multipath signal weighting values determined from the spread pilot channel; the apparatus comprising:

local pilot code sequence generator means for generating a plurality of local code sequences, each of the code sequences being a code phase-shifted version of the pilot spreading code sequence;

a plurality of pilot spreading code correlators, each pilot spreading code correlator correlating a respective one of the local code sequences with the spread signal, each spreading code correlator comprising a multiplier which multiplies the spread signal by a respective one of the local code sequences to produce a correlated pilot signal value and accumulator means for accumulating the correlated signal for a predetermined period to produce a despread multipath pilot signal component with a carrier signal; wherein each one of the plurality of despread multipath pilot signal components is applied to a respective one of a plurality of low pass filters, to produce a respective one of the plurality of multipath signal weighting values, each multipath signal weighting value corresponding to a carrier signal phase value of the respective received multipath signal components and the respective multipath signal weighting value being applied to a respective one of a

23 plurality of multipliers, wherein each multipath pilot signal component is multiplied by 24 the respective weighting value to produce a respective phase rotated pilot signal component of a plurality of phase rotated pilot signal components having substantially 25 equal carrier phase values; and 26 27 d) combining means for combining the plurality of derotated pilot signal 28 components to produce the pilot data value. 1 17. The pilot vector correlator apparatus of claim 16, further comprising: 2 a phase locked loop (PLL) which measures a carrier phase error of the pilot data value and produces a composite carrier phase error signal; wherein the phase error signal 3 and each one of the plurality of despread multipath pilot signal components and the 4 respective multipath signal weighting value are applied to a respective one of a plurality 5 6 of multipliers, and each multipath pilot signal component is multiplied by the respective weighting value and the phase error signal to produce one scaled and phase rotated pilot 7 8 signal component of the plurality of scaled and phase rotated pilot signal components 9 having substantially equal carrier phases. 18. An improved data adaptive matched filter (AMF) apparatus for collecting 1 2 signal power of a spread data channel in a spread-spectrum communication system from a 3 spread signal having a plurality of multipath signal components to produce a despread 4 data value, wherein said spread signal includes a spread pilot channel and said spread data 5 channel employs a predetermined spreading code sequence; the improved data AMF 6 apparatus comprising:

pilot vector correlator means for receiving the spread signal and for providing a plurality of multipath signal weighting values determined from the spread pilot channel, each multipath signal weighting value corresponding to a respective different carrier signal phase of the received multipath signal component;

clock signal generator means for producing a clock signal:

7

8

9

11

12

13

14

code sequence generator means for generating a predetermined code sequence signal having a plurality of code chip values and substantially equivalent to the spreading code sequence of the spread data channel, said code sequence generator means being

- 75-

coupled to the clock signal generator means for sequentially providing each spreading code value responsive to the clock signal;

a data AMF comprising:

- a) a shift register (SR) responsive to the clock signal and having a plurality of stages including a first stage and last stage, said predetermined code sequence signal being applied to the first stage, wherein each stage defines a respective tap, and each tap produces a signal which corresponds to successive ones of the spreading code values;
- b) a plurality of signal multipliers, each signal multiplier multiplying a tap output value by a respective multipath signal weighting value to produce one respective multipath despreading signal value of a plurality of multipath despreading signal values;
- c) combining means for combining all of the plurality of multipath despreading signal values to produce a despreading signal;
- d) multiplying means for multiplying the spread signal by the despreading signal to produce a despread data signal; and
- e) accumulating means for accumulating the despread data signal for a predetermined period to produce the despread data value.
- 19. A code sequence generator apparatus which generates a plurality of spreading code sequences including a master spreading code sequence, the plurality of spreading code sequences having relatively low mutual cross correlation, and having a predetermined mutual code phase relationship, said code sequence generator apparatus comprising:
 - a clock generator means for generating a clock signal
- a linear feedback shift register (LFSR), responsive to the clock signal and having a plurality of stages including a first stage and a last stage, each stage defining a respective tap, each tap producing a tap signal; wherein a predetermined group of the tap signals including the tap signal of the last stage are applied to logic circuitry which combines the tap signals to produce a feedback spreading code signal, said feedback spreading code signal being applied as an input signal to the first stage of the LFSR;

1 2

first memory means for storing a plurality of spreading-code seeds, each spreading-code seed comprising a set of spreading-code sequence bit values, and said first memory being connected to the LFSR and being responsive to a load signal for transferring each one of a predetermined set of the spreading-code sequence bit values of a selected one of the plurality of spreading-code seed into a respective one of the shift register stages of the LSFR;

code generator controller means for selecting one of the plurality of spreading code seeds to determine the plurality of spreading code sequences and for providing the load signal indicating said one spreading-code seed;

wherein said LSFR is responsive to the clock signal to sequentially transfer each respective tap signal from one stage to the next stage, from the first stage to the last stage and for transferring the feedback spreading code value to the first stage, and each successive one of the tap values of the last stage defines the master spreading code sequence

second memory means being responsive to the clock signal for providing a repetitive even code sequence, said even code sequence having relatively low cross correlation with the master spreading sequence and having an even number of chip spreading values;

a plurality of cascade connected feedforward means, coupled to receive the master spreading code sequence, for providing a plurality of code sequences, each code sequence being a distinct spreading code sequence of said plurality of spreading code sequences, said feedforward means being responsive to the clock signal, to provide a plurality of spreading code sequences; and

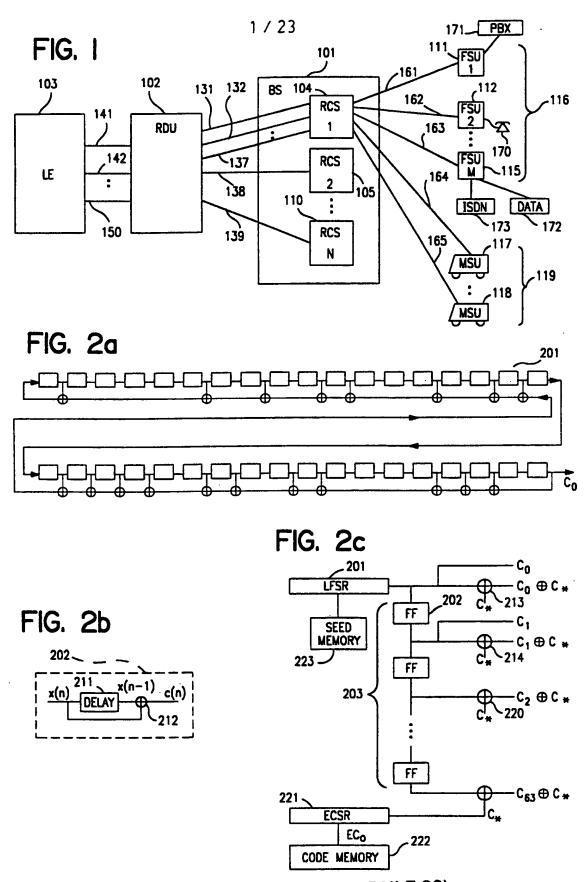
a plurality code sequence combining means, each code sequence combining means for combining respective spreading code sequence with said even code sequence to produce a plurality of relatively long spreading code.

20. The code sequence generator apparatus of claim 19, wherein the plurality of cascade connected feedforward means includes:

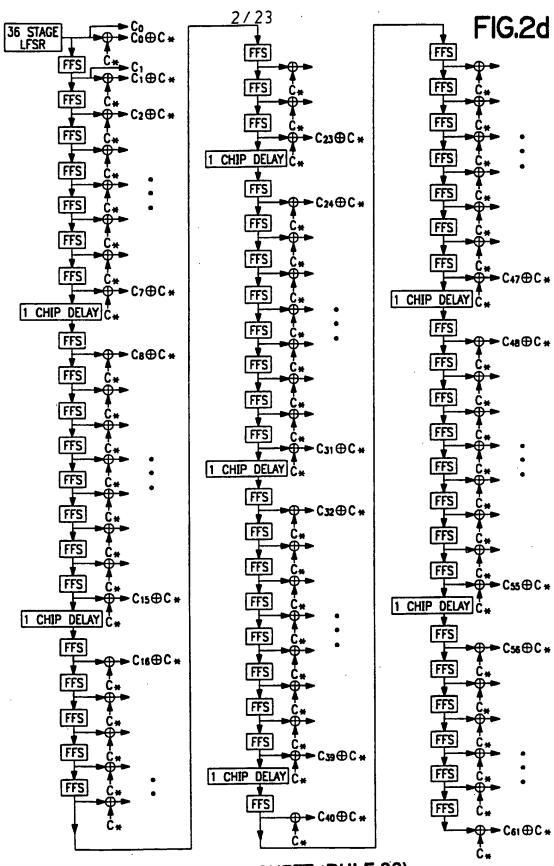
receiving means for receiving the master spreading sequence;

a feedforward circuit having a plurality of cascade connected feedforward logic
sections, each logic section defining a tap which provides one of the plurality of spreading
code sequences, including a first feedforward logic section and a last feedforward logic
section and connected sequentially from the first feedforward logic section to the last
feedforward logic section, each feedforward logic section comprising a single delay
element having an input terminal which receives and input signal and an output terminal
which provides an output signal, and logic combining means for logically combining the
input signal with the output signal to produce the respective spreading code sequence.

- 21. The code sequence generator claim 20, wherein the code sequence combining means comprises an EXCLUSIVE-OR logic circuit.
- 22. The code sequence generator apparatus of claim 20, wherein the logic combining means comprises an EXCLUSIVE-OR logic circuit for performing modulo-2 addition.



SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

3/23

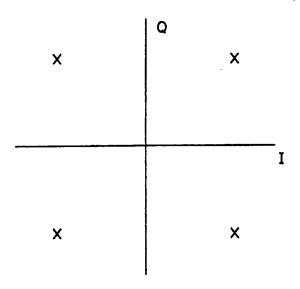


FIG. 3a

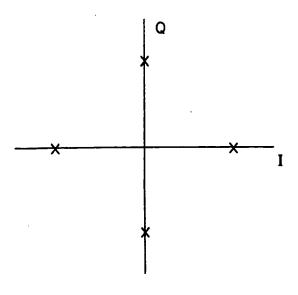
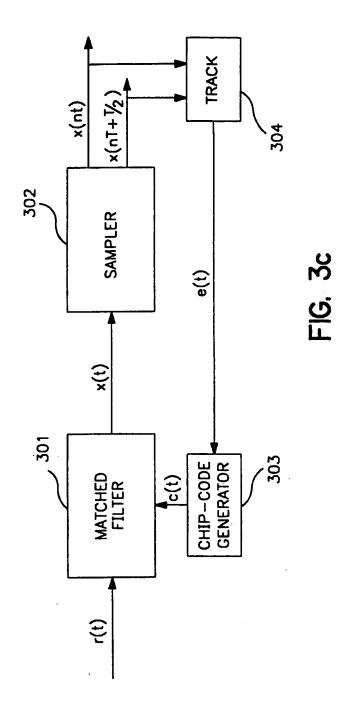
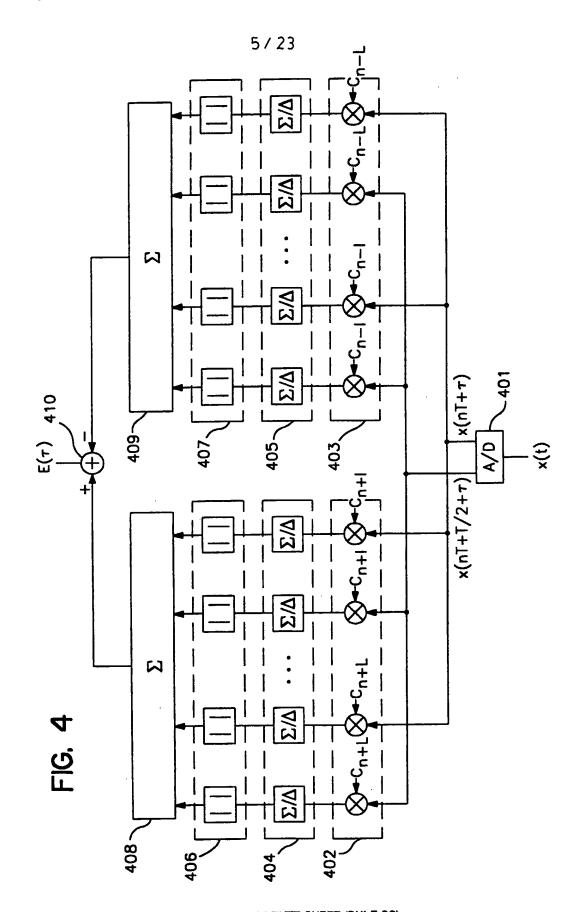
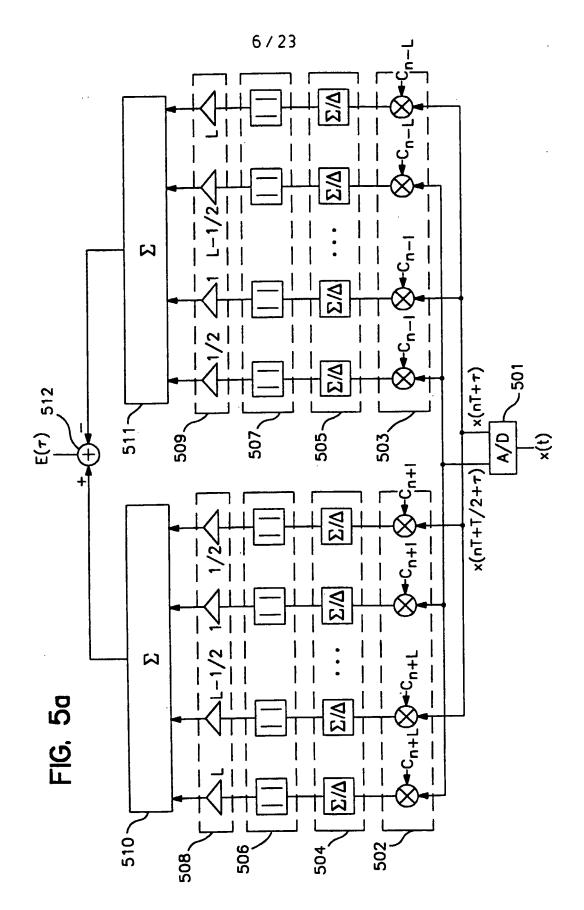


FIG. 3b





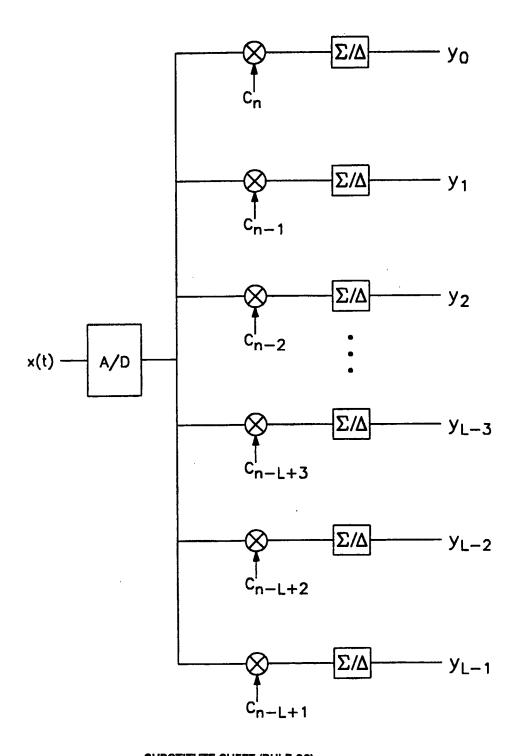
SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

7/23

FIG. 5b



SUBSTITUTE SHEET (RULE 26)

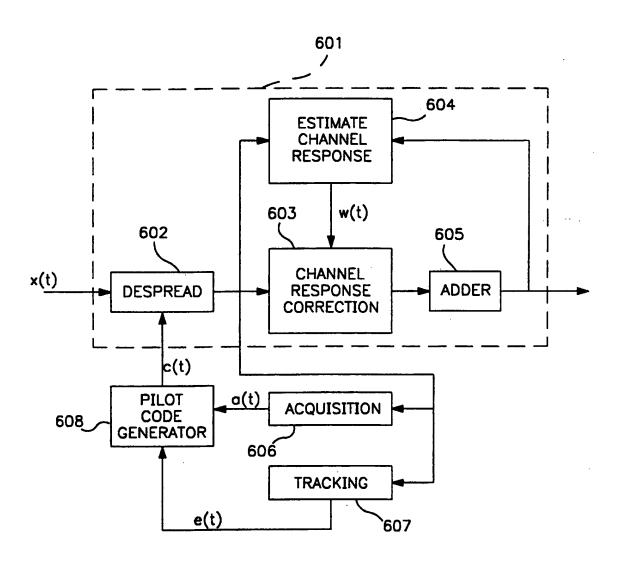
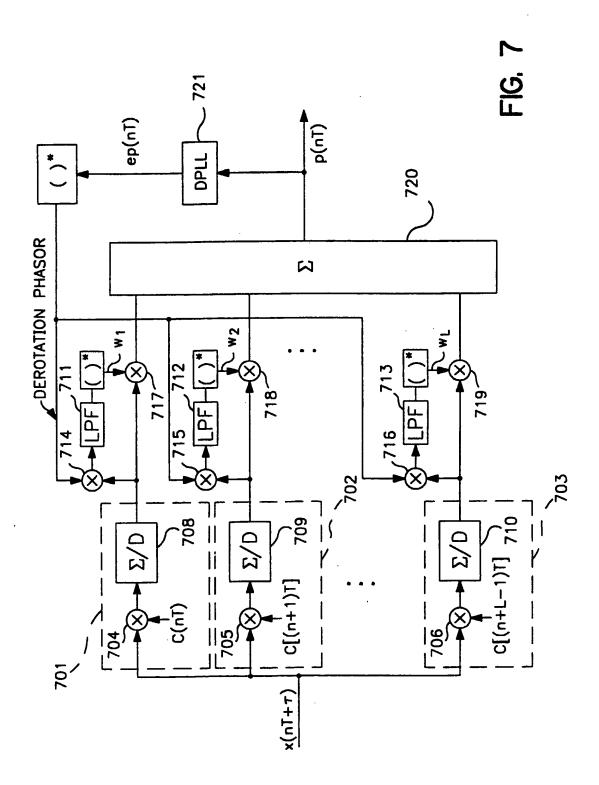


FIG. 6



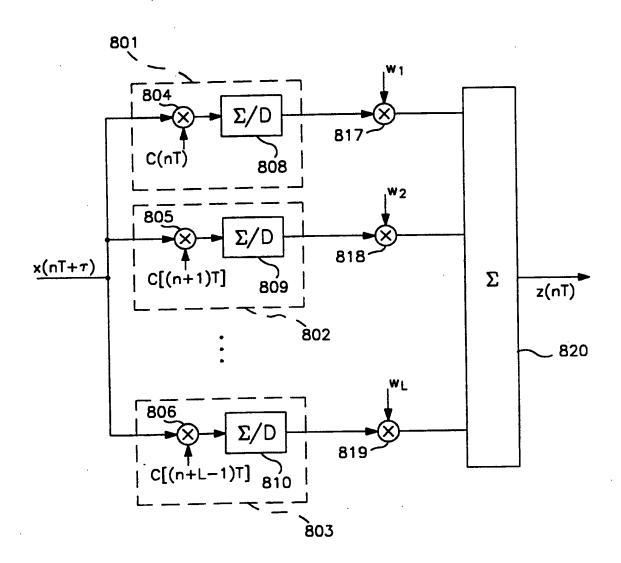


FIG. 8a

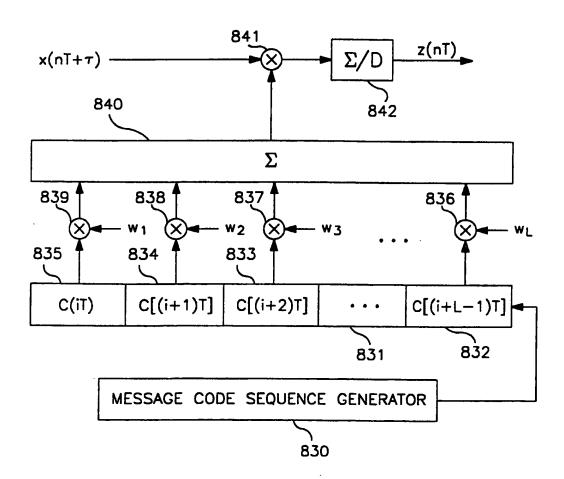
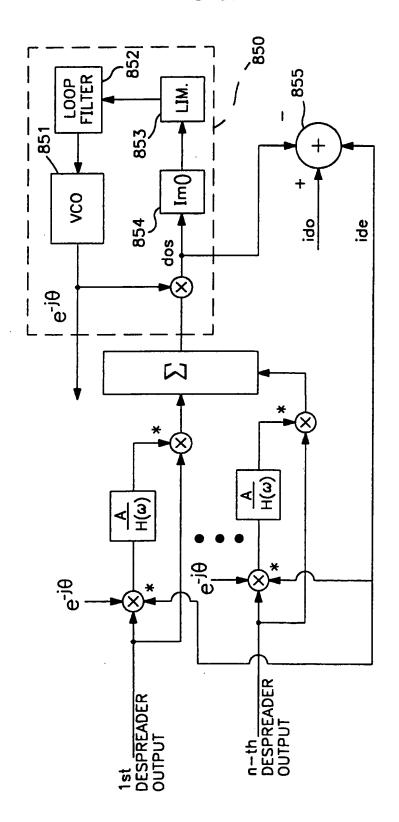
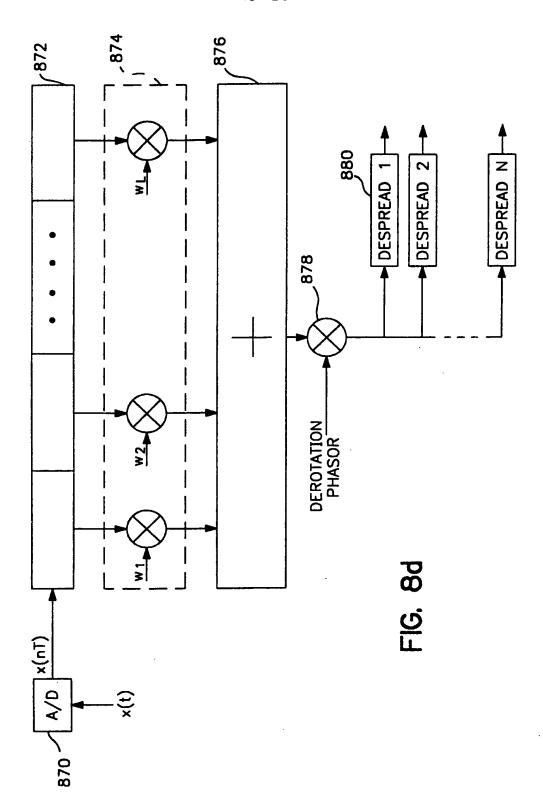


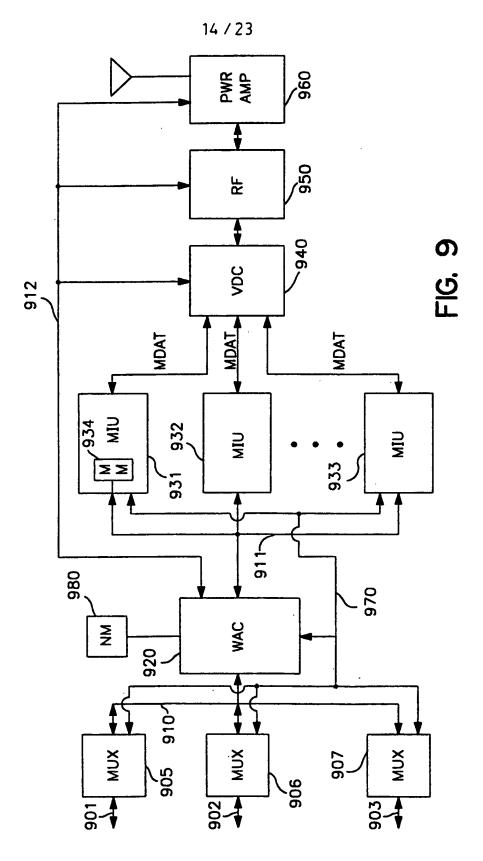
FIG. 8b



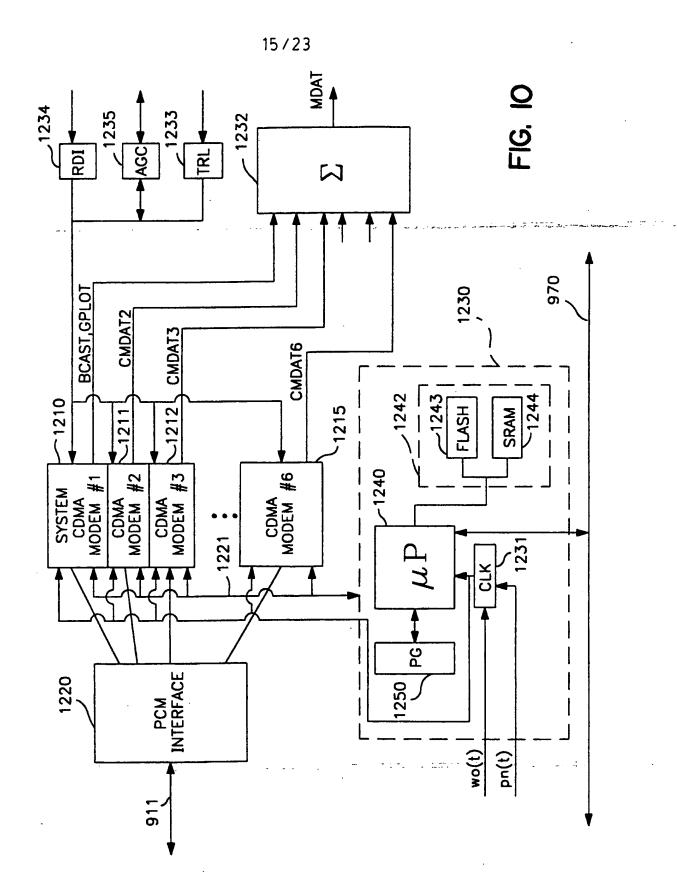
F1G, 8c



SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)



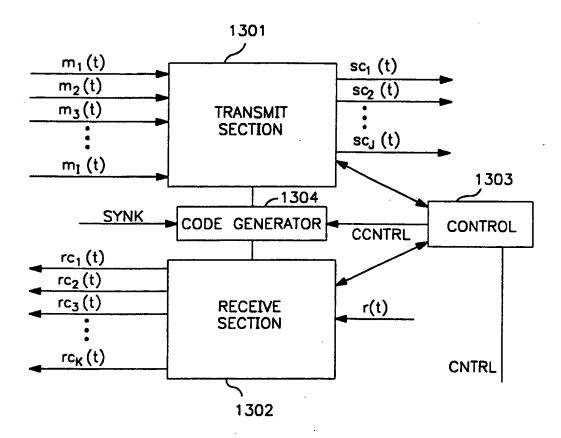
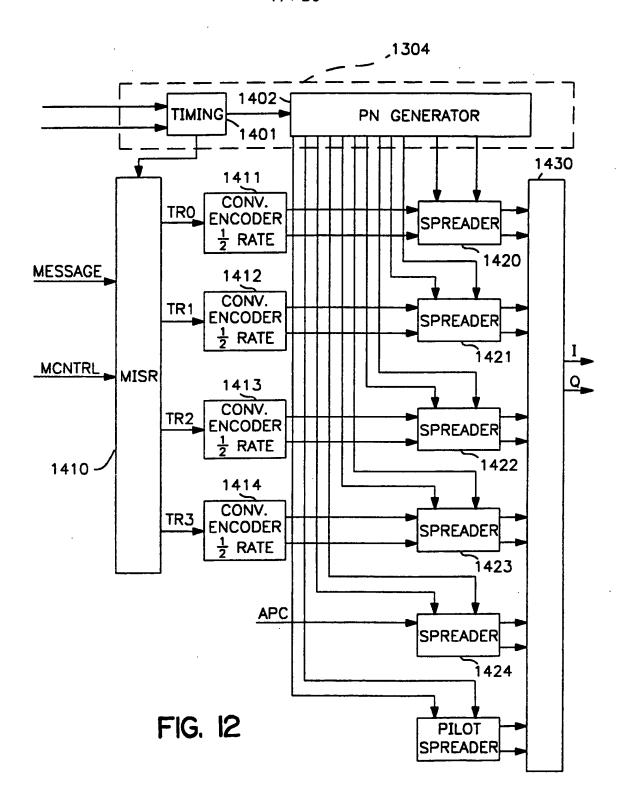
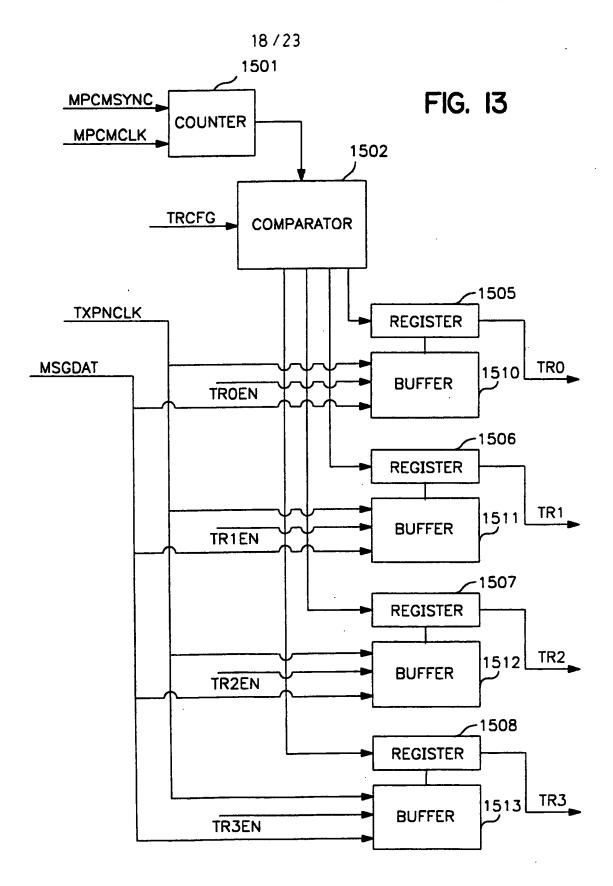


FIG. 11

17/23



SUBSTITUTE SHEET (RULE 26)



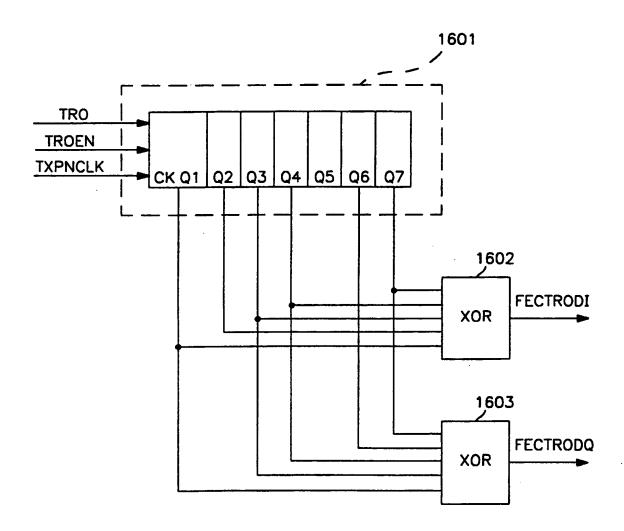


FIG. 14

WO 97/02675

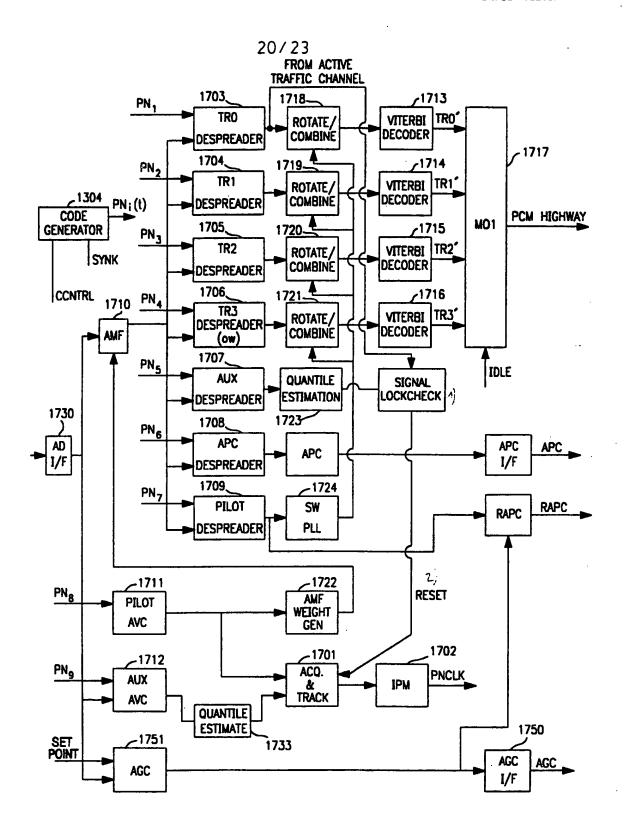
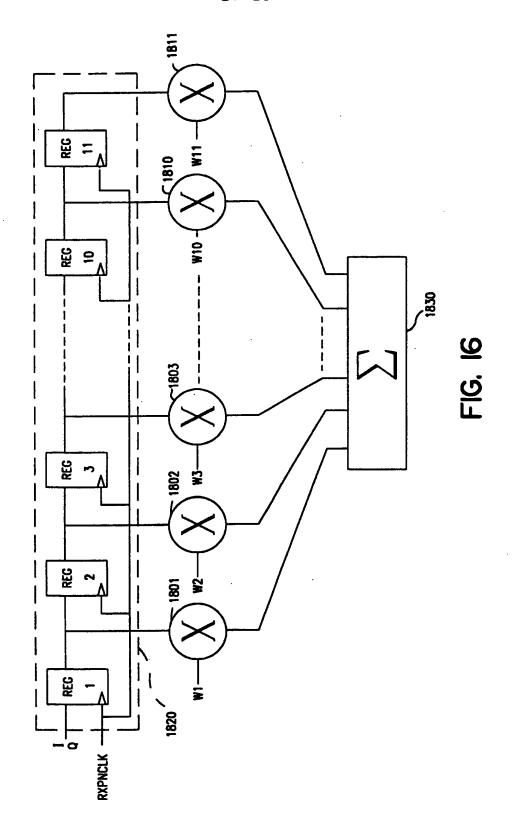
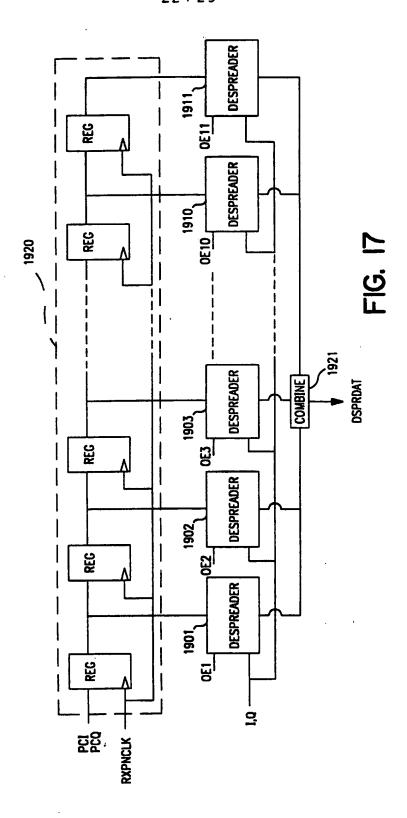


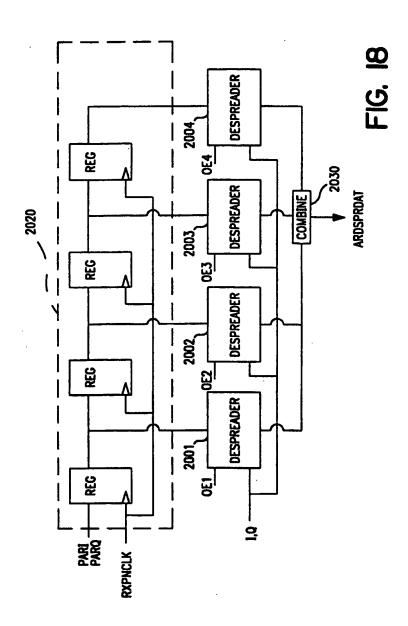
FIG. 15

21/23



22/23





PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶:
H04J 13/04
A3

(11) International Publication Number:

WO 97/02675

(43) International Publication Date:

23 January 1997 (23.01.97)

(21) International Application Number:

PCT/US96/11059

(22) International Filing Date:

27 June 1996 (27.06.96)

(30) Priority Data:

60/000,775

30 June 1995 (30.06.95)

US

(71) Applicant: INTERDIGITAL TECHNOLOGY CORPORA-TION [US/US]; Suite 200, 900 Market Street, Wilmington, DE 19801 (US).

(72) Inventors: LOMP, Gary; 130 Washington Drive, Centerpot, NY 11721 (US). OZLUTURK, Fatih; 1474 Middle Neck Road, Port Washington, NY 11050 (US). SILVERBERG, Avi; 40 Cottonwood Drive, Commack, NY 11725 (US).

(74) Agents: NIGON, Kenneth, N. et al.; Ratner & Prestia, P.O. Box 980, One Westlakes, Berwyn, Valley Forge, PA 19482-0980 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

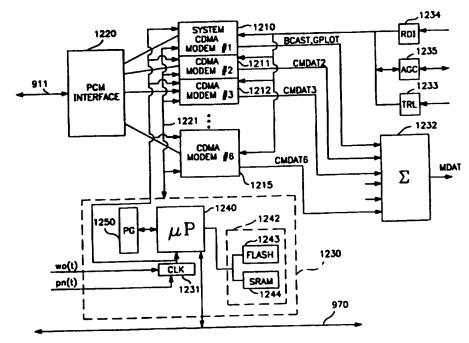
Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report: 03 April 1997 (03.04.97)

(54) Title: CDMA MODEM

(57) Abstract

A CDMA modem includes a modern transmitter having: a code generator which provides an associated pilot code signal and which generates a plurality of message code signals; spreading circuit which produces a spread-spectrum message signal by combining each of the information signals with a respective one of the message code signals; and a global pilot code generator that provides a global pilot code signal to which the message code signals are synchronized. The CDMA modem also includes a modern receiver having an associated pilot code generator and a group of associated pilot code correlators for correlating code-phase delayed versions of the associated pilot signal



with a receive CDM signal to produce a despread associated pilot signal. The code phase of the associated pilot signal is changed responsive to an acquisition signal to produce a despread associated pilot code tracking logic adjusts the associated pilot code signal in phase responsive to the acquisition signal so that the signal power level of the despread associated pilot code signal is maximized. Finally, the CDMA modem receiver includes a group of message signal acquisition circuits, each including a plurality of receive message signal correlators which correlate respective local received message code signal to the CDM signal to produce a respective despread received message signal.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Marawi Mexico
ΑU	Australia	GN	Guinea	NE.	
BB	Barbados	GR	Greece	NL	Niger
BE	Belgium	HU	Hungary		Netherlands
BF	Burkina Faso	IR	Ireland	NO	Norway
BG	Bulgaria	IT	Italy	NZ	New Zealand
BJ	Benin	JP	Japan	PL.	Poland
BR	Brazil	KE.	Kenya	PT	Portugal
BY	Belarus	KG	Kyrgystan	RO	Romania
CA	Canada	KP		RU	Russian Federation
CF	Central African Republic	IN.	Democratic People's Republic	SD	Sudan
CG	Congo	. KR	of Korea	SE	Sweden
CH	Switzerland	KZ.	Republic of Korea	SG	Singapore
Cī	Côte d'Ivoire		Kazakhstan	SI	Slovenia
CM	Cameroon	LI	Liechtenstein	SK	Slovakia
CN	China	LK	Sri Lanka	S.N	Senegal
CS		LR	Liberia	SZ	Swaziland
CZ CZ	Czechoslovakia	LT	Lithuania	TD	·Chad
DE	Czech Republic	LU	Luxembourg	TG	Togo
DK	Germany	LV	Latvia	T)	Tajikistan
EE	Denmark	MC	Monaco	ŤŤ	Trinidad and Tobago
_	Estonia	MD	Republic of Moldova	UA	Ukraine
es Es	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ.	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam

INTERNATIONAL SEARCH REPORT

Internal al Application No PCT/US 96/11059

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 H04J13/04 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) H04J H04B H03K IPC 6 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages Category * 1-4,13, US 5 416 797 A (GILHOUSEN KLEIN S ET AL) 16,18,19 16 May 1995 see column 4, line 50 - line 64 see column 5, line 34 - line 41 see column 5, line 55 - column 6, line 11 see column 8, line 65 - column 9, line 4 see column 27, line 9 - line 25 see column 30, line 30 - line 68; figure 9 1,3,5,10 DE 37 43 731 A (ANT NACHRICHTENTECH) 13 Α July 1989 see column 3, line 23 - column 4, line 37; figures 3,4 -/--Patent family members are listed in annex. Further documents are listed in the continuation of box C. X Special categories of cited documents: "I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "O" document referring to an oral disclosure, use, exhibition or 'P' document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 05.03.97 21 February 1997 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Ripswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax (+31-70) 340-3016 Bossen, M

2

INTERNATIONAL SEARCH REPORT

Intern al Application No PCT/US 96/11059

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/US 96/11059
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	us 5 414 729 A (FENTON PATRICK) 9 May 1995 see column 1, line 17 - line 21 see column 2, line 3 - line 8 see column 3, line 22 - line 37 see column 4, line 60 - column 5, line 11 see column 6, line 16 - column 13, line 17 see column 13, line 42 - line 49; figures 1,2,4	1,3,5, 10,19
A	US 5 347 536 A (MEEHAN THOMAS K) 13 September 1994 see column 1, line 14 - line 19 see column 8, line 35 - column 10, line 49; figures 13,14	1,3
A	US 5 349 606 A (LOVELL JOHN W ET AL) 20 September 1994 see column 3, line 30 - column 4, line 9; figure 3	13,16,18
Ρ,Α	EP 0 668 662 A (NIPPON TELEGRAPH & TELEPHONE) 23 August 1995 see column 11, line 56 - column 13, line 58; figures 4,5	13,16,18
	& WO 95 05037 A (NIPPON TELEGRAPH & TELEPHONE)	
,A	US 5 519 736 A (ISHIDA KENJI) 21 May 1996 see column 2, line 45 - column 3, line 58; figures 2,3 & PATENT ABSTRACTS OF JAPAN vol. 95, no. 003, 31 March 1995 & JP 07 086982 A (NEC CORP) see abstract	19
ľ		

2

International application No.

INTERNATIONAL SEARCH REPORT

PL:/US 96/11059

Box 1 Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
1. X As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

JRTI	HER INFO	RMATION CON	International Application No. PCT/US 96/ 13 TINUED FROM PCT/ISA/210
	Claims		
2.	Claims	5-12:	Code Division Multiple Access (CDMA) modem Method for tracking a "centroid" of a multipath spread spectrum signal
		13-15,18:	Adaptive matched filter in a receiver for a spread spectrum communications signal
	Claims		Pilot vector correlator apparatus to identify the multi-path elements in a received spread spectrum signal.
5.	Claims	19-22:	Code sequence generator

INTERNATIONAL SEARCH REPORT

information on patent family members

Intern: al Application No PCT/US 96/11059

Patent document cited in search report	Publication date	Patent fa member		Publication date
US-A-5416797	16-05-95	US-A- AU-B- AU-A- BG-A- CA-A- CN-A- IL-A- JP-T- SK-A- WO-A- US-A- US-A- US-A- US-A-	5103459 652956 8401691 97222 2085890 1061312 0536334 64657 98598 6501349 387192 9200639 5511073 5504773 5535239 5568483 5309474	07-04-92 15-09-94 23-01-92 27-05-94 26-12-91 20-05-92 14-04-93 28-01-94 27-02-94 10-02-94 10-08-94 09-01-92 23-04-96 02-04-96 09-07-96 22-10-96 03-05-94
************		US-A-		
DE-A-3743731	13-07-89	NONE		
US-A-5414729	09-05-95	AU-A- WO-A- CN-A- AU-B- AU-A- CA-A-	8137894 9514937 1128064 660757 3197193 2087909	13-06-95 01-06-95 31-07-96 06-07-95 29-07-93 25-07-93
		CN-A,B EP-A- US-A- US-A-	1080059 0552975 5390207 5495499	29-12-93 28-07-93 14-02-95 27-02-96
US-A-5347536	13-09-94	EP-A- US-A-	0552975 5390207	28-07-93 14-02 - 95
US-A-5347536 US-A-5349606	13-09-94	EP-A- US-A- US-A-	0552975 5390207	28-07-93 14-02 - 95
		EP-A- US-A- US-A- NONE	0552975 5390207	28-07-93 14-02 - 95